

## Direct Component Project Evaluation Form

Please complete the following information needed to evaluate your proposal. In order to be considered, complete evaluation packets must be received by **October 31, 2017**. Do not leave any questions blank; use N/A if not applicable to your project. The completed form is limited to 20 pages, including a 5 page limit for Section B.1 Proposed Scope of Work. See attached FAQs for submission information.

GENERAL INFORMATION			
Applicant Name:	City of Gulf Shores, Alabama		
Point of Contact for matters concerning this project (POC name, email address and phone):	Dan Bond, <a href="mailto:dbond@gulfshoresal.gov">dbond@gulfshoresal.gov</a> , (251)968-9825		
Proposed Project Name:	Ambassadors of the Environment – Gulf Shores		
A. RESTORE ACT PROJECT CLASSIFICATION			
<b>1. Qualifying eligible activity:</b> Please check the primary eligible activity in the first column and then all other eligible activities that apply in the second column by placing an "X" in the column in the row corresponding to the qualifying eligible activity.	Primary Activity	All Others That Apply	Qualifying Eligible Activity
	<input type="checkbox"/>	<input type="checkbox"/>	Restoration and protection of the natural resources, ecosystems, fisheries, marine and wildlife habitats, beaches, and coastal wetlands of the Gulf Coast region
	<input type="checkbox"/>	<input type="checkbox"/>	Mitigation of damage to fish, wildlife, and natural resources
	<input type="checkbox"/>	<input type="checkbox"/>	Implementation of a federally approved marine, coastal, or comprehensive conservation management plan, including fisheries monitoring
	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Workforce development and job creation
	<input type="checkbox"/>	<input checked="" type="checkbox"/>	Improvements to or on state parks located in coastal areas affected by the Deepwater Horizon oil spill
	<input type="checkbox"/>	<input type="checkbox"/>	Infrastructure projects benefitting the economy or ecological resources, including port infrastructure
	<input type="checkbox"/>	<input type="checkbox"/>	Coastal flood protection and related infrastructure
	<input type="checkbox"/>	<input type="checkbox"/>	Planning assistance
	<input checked="" type="checkbox"/>	<input type="checkbox"/>	Promotion of tourism in the Gulf Coast Region, including recreational fishing
	<input type="checkbox"/>	<input type="checkbox"/>	Promotion of the consumption of seafood harvested from the Gulf Coast Region
<b>2. Was this proposed activity included in any claim for compensation paid out by the Oil Spill Liability Trust Fund after July 6, 2012?</b>  If "Yes," this activity is not eligible for Director Component funding.	Yes <input type="checkbox"/> No <input checked="" type="checkbox"/>		

<b>3. Location</b> a) Please provide the actual location for the activity as street address, nearest intersection, or note boundaries on a submitted map. If there is more than one location for the activity, attach a list of the additional locations, city/town, county, state, and zip code.	Location:	City Property
	City/Town:	Gulf Shores
	County:	Baldwin
	State:	AL
	Zip Code:	36542
b) Applicants must demonstrate that the proposed activity will be carried out in the Gulf Coast Region. An activity is carried out the Gulf Coast Region when, in the reasonable judgement of the entity applying for a grant, each severable part of the activity is primarily designed to restore or protect that geographic area. Describe how the proposed activity will be carried out in the Gulf Coast Region as defined in 31 CFR 34.2. Applicant must also attach a map including the location the activity is primarily designed to restore or protect. (See FAQs)		
Project will be located in the City of Gulf Shores, Alabama.		

<b>B. DISCUSSION OF SPECIFIC ACTIVITY</b>
<b>1. Proposed Scope of Work (See FAQs)</b>
(a) Provide a detailed scope of work that fully describes the project or program for which funding is requested, including: <ul style="list-style-type: none"> <li>Need, purpose, and objectives</li> </ul>
<b>Project Need (include cost of No Action):</b>
<p>In 2010 the <i>Deepwater Horizon</i> Oil Spill released approximately 3.19 million barrels of oil from BP’s Macondo well into the Gulf of Mexico, by far the largest offshore oil spill in United States history. Oil spread from the deep ocean to the coastal environment, fouling 1,300 miles of shoreline and impacting 43,300 square miles of open water. The northern Gulf of Mexico is a vast, productive ecosystem that is home to diverse natural resources including fish and shellfish, wetland habitats, beaches, bird nesting habitats, marine mammals, and endangered sea turtles. All of these precious resources were impacted by this catastrophic event. As the region recovers from the harm of the oil spill, the City of Gulf Shores, in partnership with Jean-Michel Cousteau’s Ocean Futures Society and Gulf State Park, would like to establish an ecotourism program to inspire, educate, and empower future generations to protect our natural resources.</p> <p>The program will incorporate the principles and guidelines of Ocean Futures Society’s “Ambassadors of the Environment” program, a comprehensive, science-based, and experiential approach that introduces participants from around the world to concepts of biodiversity, ecology, sustainability, and resource management. The program will allow participants to take part in hands on, interactive experiences that explore these principles and how they relate to terrestrial, aquatic, and human systems. The program will focus on sustainable living practices and encourage environmental awareness and stewardship. Activities will also focus on problem solving, team building, and exercises to improve self-confidence. Based on robust concepts, this foundational program can be implemented in any region of the world and in any natural ecosystem.</p> <p>The City of Gulf Shores will construct a new state-of-the-art facility, utilizing the latest green building technologies, on 11.86 acres of City-owned property located adjacent to Gulf State Park. The architecture will mimic functional natural cycles found in the many habitat types that are easily accessible here, including the open waters of the Gulf of Mexico, beaches and coastal dune systems, brackish and salt</p>

marshes, large and small estuaries, maritime and upland forests, and freshwater rivers and wetlands. The facility will be able to draw its energy from the sun, take advantage of our abundant rainfall to grow food and shade trees, and provide healthy and accessible indoor and outdoor environments. The buildings will not only bring participants out into nature, but also strive to bring the outside indoors. The facility itself will serve as a ecotourism tool, providing students with experiential connections to nature.

The proposed site would be easily accessible from Gulf Shores Parkway via 15<sup>th</sup> Avenue. The site is central to the Alabama coastal region, affording easy access to a wide range of diverse habitat types including the open waters of the Gulf of Mexico, beaches and coastal dune systems, brackish and salt marshes, large and small estuaries, maritime and upland forests, and freshwater rivers and wetlands.

Adjacent uses and site size and quality are supportive of the development of a facility such as the proposed GSAEP. The proposed location of GSAEP, adjacent to both Gulf Shores High School and Gulf State Park, will provide a supportive and dramatic environment for a facility such as GSAEP, with education related to our environment being the central theme.

The environmental impacts of the *Deepwater Horizon* Oil Spill in the northern Gulf of Mexico are not yet fully understood, and it may take decades for this vital ecosystem to recover. This tragic event served to remind us how closely connected our way of life on the coast is to a healthy, clean environment.

Ecotourism programs based on sound science are critical to raising environmental awareness, promoting stewardship, increasing community resilience, protecting natural resources, and preserving our quality of life. The Gulf Shores Ambassadors of the Environment Project (GSAEP) will create a unique, world-class environmental ecotourism facility in Gulf Shores, with programs designed to empower participants to take the lessons they learn in nature and apply them to make positive changes in their own communities.

#### Project Purpose:

The purpose of the Gulf Shores Ambassadors of the Environment Program is to create a sustainably-designed ecotourism program where participants can learn about the ecology, biodiversity, sustainability, and resource management of the northern Gulf of Mexico in order to raise environmental awareness and to promote conservation and stewardship of our natural resources.

#### Project Objectives:

Nature-based tourism has continued to be a significant factor in shaping our national economy. According to a study from the Outdoor Industry Association, nature-based tourism has a large national economic footprint - contributing \$730 billion annually to the U.S. economy. Nature-based tourism continues to be a growth trend within the tourism industry, evident in the visitation to our National Parks. Almost 69 million people visited U.S. National Parks in 2014, representing an increase of 8.5% from 2013 (*National Park Service, U.S. Department of the Interior*).

According to The International Ecotourism Society, ecotourism, along with nature-based, cultural, heritage, and adventure tourism, has become among the fastest growing sectors of the tourism industry worldwide. More recently, ecotourism has helped to spawn a variety of new terms, such as sustainable tourism, pro-poor tourism, and responsible tourism, all of which encompass the concept that tourism can and should benefit conservation and host communities. Sustainable tourism describes activities that take advantage of natural resources or other natural or cultural attributes while promoting local economic development and avoiding damaging environmental impacts.

An important trend within the development of sustainable tourism is initiatives to mainstream sustainability within travel and tourism by taking the principles and good practices of ecotourism and applying them to a wider swath of the market, e.g. hotel chains, urban tourist attractions, ski resorts, golf courses, and beach resorts. When nature-based tourism is planned and well managed, it can provide income to a diversity of local residents; conserve rural and natural areas; educate people about little known natural, cultural, and historical wonders; and accomplish numerous other benefits.

A shift in tourism has occurred in recent years, where consumers are seeking not only outdoor recreation activities such as camping, fishing, and boating, but also destinations that offer a broader family experience that combines outdoor recreation with cultural and educational opportunities. To sum it up, more and more consumers are seeking life experiences within their destinations, not just beautiful scenery. GSAEP will offer the type of tourism that supports the preservation, enhancement, and quality of the area which will make Gulf Shores distinctive from other regional destinations.

• **How the project/program meets the identified primary activity designated in A1**

Promoting the natural environment in and around Gulf State Park can dramatically increase tourism to the area. In the United States, natural areas became tourism destinations in the late 19th century when the federal government created Yellowstone, Yosemite, and Grand Canyon national parks. Nature-based tourism simply describes a type of tourism where nature is the attraction. Because of the abundant ecological diversity and unique conditions of the Gulf Coast, GSAEP and Gulf State Park are positioned to become a key destination for nature-based tourism.

• **Specific tasks, milestones and related timeframes (Needs to directly correspond to information provided in the Restore Act Milestones Report (See sample in FAQs))**

- Task 1 – Program Development, 6 months
- Task 2 – Engineering & Design, 6 months
- Task 3 – Construction, 18 months
- Task 4 – Program Refinement, begin operations, 24 months
- Task 5 – First year operations, 36 months

• **Description of all funding sources (please list any other funding sources that will be dedicated to meeting project goals and objectives, both federal and non-federal (corresponds to SF-424-A form in budget attachments))**

Restore Act Direct Component and State Expenditure Component.

• **Projects designed to protect or restore natural resources must be based on best available science, (See FAQs). Include a description of the methods to be used to achieve the protection or restoration objective(s). (Also complete Question B10.)**

NA

**(b) If the proposed project is part of a larger project outside the scope of this application, describe the larger project and the proposed project's relationship to it.**

NA

**2. Budget Justification (See 2 CFR Sub-part E, Cost Principles)**

Directions: Explain in detail how the proposed budget supports the proposed scope of work. The budget justification should relate each budget category listed in the SF-424A and SF-424C to the specific tasks discussed in the response to B1. Provide specific justification for ALL budget categories that apply, including an explanation of the necessity, allowability, reasonableness, and allocability of proposed costs. Please refer to the relevant FAQs for descriptions of the budget categories.

**CAPITAL EXPENDITURES**

Establishment of the program is contingent upon the City of Gulf Shores acquiring funding for construction through the BP Settlement for the *Deepwater Horizon* Oil Spill. Initial costs for the project will include the construction of approximately 59,265 square feet of facilities including dormitory style cabins, dining hall / meeting space, wet lab, outdoor work spaces, pathways and boardwalks, rustic trails, parking, and landscaping Included in this cost estimate

are specialized equipment such as sustainable design components, utilities, and furnishings.

**CAPITAL EXPENDITURES**

**CONSTRUCTION**

	\$4,817,76
Building Construction	5
Sitework and Infrastructure	\$791,000
	\$1,681,25
Living Building Infrastructure	0
Furniture, Fixtures, Equipment	\$309,060
Professional Services	\$898,502
	\$1,274,63
Contingency 15%	6

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**TOTAL** **\$9,772,21**

Based on the conceptual site plan, the conceptual program needs, and information from existing OFS programs, the total initial capital expenditure for this project is estimated to be **\$9,772,213**.

**PROGRAM ESTIMATED OPERATIONAL BUDGET**

**PERSONNEL EXPENSES**

Based on similar programs in the state such as Space Camp in Huntsville, the Ambassadors program planning effort analyzed operating procedures, administration, typical camp sizes, staffing needs, logistics, and facility requirements to estimate potential operating costs and revenue for the Gulf Shores Ambassadors Program.

Modeled after the Ocean Futures Society's Catalina Island program, the camp will require full time, part-time, and seasonal staff positions including a Director, Program Managers, Kitchen Staff, and Maintenance/Custodial Staff. It is estimated that the model program will require 4 Senior Naturalists and 8 Naturalists. These positions are seasonal in nature and city staff will work to determine the most effective and appropriate personnel scheduling (full-time, part-time, contract).

Certification programs, such as the American Camp Association and staff training certifications, should be considered as well. Ropes courses will require additional training and annual inspections. All staff will be CPR and Lifeguard certified, as well as prepared for other emergencies.

**OPERATING EXPENSES**

The following table provides a stable year attendance operating expense estimate in current dollars based on the detailed factors for individual expense items for GSAEP. Total operating costs are estimated at approximately \$3.2 million in a stable year and in current dollar value.

**Annual Operating Expenses  
Gulf Shores Ocean Futures  
Expense Assumptions**

**Project Parameters**

Indoor Square Footage	60,000 (estimated)
Projected Annual Attendance	27,948
Projected Camper Annual Attendance	654
Retail Sales	\$97,818
Projected Operating Revenue	\$6,537,903
Employees (FTEs)	37

Expense Category	Annual Amount	Percent of Operating Revenue
Salaries	\$1,636,500	25.0%
Tax & Benefits	\$409,125	6.3%
Computer & Internet Expenses	\$6,000 Budgeted	0.1% Fixed
Equipment Rental / Lease	\$12,500 Budgeted	0.2% Fixed
Events & Programs	\$150,000 Budgeted	2.3% Fixed
Insurance	\$56,000 Budgeted	0.9% Fixed
Program Supplies	\$220,000 Budgeted	3.4% Fixed
Retail Cost of Goods Sold (COGS) *	50.0% Of Retail Revenue	0.7% Variable
Contingency Operating Expenses	5% of Revenue	5.0% Variable
Equipment Repairs	\$1.25 Per Attendee	1.1% Variable
Printing/Copying & Publications	\$0.50 Per Attendee	0.2% Variable
Program Reinvestment	\$1.00 Per Attendee	0.4% Variable
Food & Beverage	\$96 Per Camp Attendee	1.0% Variable
Advertising	Budgeted	2.8% Fixed
Dues & Subscriptions	\$200 Per FTE	0.1% Fixed
Postage & Shipping	\$300 Per FTE	0.2% Fixed
Professional Contract Services	\$3,000 Per FTE	1.7% Fixed
Travel, Meeting and Entertainment	\$500 Per FTE	0.3% Fixed
Uniforms	\$150 Per FTE	0.1% Fixed
Repairs & Maintenance	\$2.50 Per Interior SF	2.3% Fixed
Utilities	\$2.00 Per Interior SF	1.8% Fixed
<b>Total Projected Expenses</b>	<b>\$3,649,186</b>	<b>55.8%</b>

\* Retail COGS is assumed at 50 percent, based on typical industry results.

A website will have to be developed and maintained by the city. Transportation requirements (vans, golf carts, UTV's) will vary based on program development. Other costs, such as worker's compensation, insurance requirements, and required staff training, will be calculated and included in final budget estimates.

**3. The Applicant's Selection and Oversight of Contractors, if applicable**

Directions: Indicate if the applicant plans to contract out any work described under the Budget Justification (see B2) including construction. If so, the applicant must describe the following:

- Nature of the work to be contracted out and the expected number of contracts to be awarded;

Engineering and Design – 1 contract  
Construction - 1 contract

- Procurement method(s) allowable under 2 CFR 200.320 that will be used for the procurement of the contractor(s);

Alabama Competitive Bid Law, Alabama Public Works Law, City of Gulf Shores Purchasing Manual

- Justification under 2 CFR 200.320(f) for sole source procurement, if applicable; and

NA

- Applicant's plan for monitoring contractor performance and compliance.

The City of Gulf Shores is very experienced with contractor management for large scale municipal projects, including transportation infrastructure, municipal buildings and other City facilities, Fire and Police projects, Airport facilities, drainage and stormwater infrastructure, and public access projects. The City Public Works Department has staff dedicated to construction project management and inspection. The City's Finance Department has staff dedicated to contract management, bidding, procurement, and invoicing.

If a contractor already has been selected, also include the following:

- Name of each contractor;
- DUNS number of each contractor;
- Date the applicant executed each contract;
- Amount of each contract award;

NA

- Procurement method allowable under 2 CFR 200.320 that was used for the procurement of each contract;
- Description of the procurement process, as implemented; and
- Justification under 2 CFR 200.320(f) for sole source procurement, if applicable.

Alabama Competitive Bid Law, Alabama Public Works Law, City of Gulf Shores Purchasing Manual

#### 4. Best Available Science

Directions: If the answer to the following question is "yes" complete this section.

Is the proposed activity designed to protect or restore natural resources?

Yes

No

The RESTORE Act requires activities designed to protect or restore natural resources to be based on the "best available science," which is defined in the Act as science that (a) maximizes the quality, objectivity, and integrity of information, including statistical information; (b) uses peer-reviewed and publicly available data; and (c) clearly documents risks and uncertainties in the scientific basis for such projects.

The applicant must make a determination that a project designed to protect or restore natural resources is based on the best available science. In order to support this determination, the applicant must clearly state the protection or restoration objective(s) of the project, describe the methods that will be used to achieve the objective(s), and explain how these methods are based on best available science. The response must be sufficiently detailed for Treasury to evaluate the reasonableness of the applicant's determination that the project is based on best available science. In addressing the three-pronged test in the definition of "best available science," the applicant must cite, when available, peer-reviewed, objective, methodologically sound literature sources that support the conclusion that the proposed scope of work is an effective way to achieve the stated objectives.

For each literature source cited, the applicant must provide sufficient citations, including:

- Title;
- Journal in which the literature source appeared, if applicable;
- Publication date;
- Author(s); and
- Web address if downloaded or available online.

NA

The applicant must provide written answers to all of the following:

(Submission of source materials will not satisfy the requirements for answering this question.)

- A summary of the peer-reviewed information that justifies the proposed objectives, including methods used for the

proposed activity. If peer-reviewed literature sources are unavailable, the Applicant must explicitly State this and provide A brief explanation of what alternative scientific information sources were used. If the Applicant relied on publicly available data, the Applicant must cite the source of the data, the date of collection, and the size of the data set. Whenever possible, the Applicant should use publicly available data from sources such as State agencies and federal agencies, for example the U.S. Census Bureau, U.S. Fish and Wildlife Service, Environmental Protection Agency, National Oceanic and Atmospheric Administration. The Applicant must provide a link to each publicly available data source used.

NA

- A summary of how the applicant's methods reasonably support and are adaptable to Gulf Coast Region if the information supporting the proposed activity does not directly pertain to the Gulf Coast Region.

NA

- A summary of an evaluation of uncertainties and risks in achieving the project's best available science objectives over the longer term; e.g., is there an uncertainty or risk that in 5-10 years the project/program will be obsolete or not function as planned given projections of sea level rise or other environmental change such as in freshwater inflows to estuaries?

NA

- A summary of the literature sources' conclusions and any uncertainties or risks in the scientific basis that would apply to the proposed activity, including any uncertainties or risks that were identified by the public or by a Gulf Coast Ecosystem Restoration Council member.

NA

#### **5. Key Personnel**

Directions: Key personnel should include the applicant's Authorizing Official who is authorized to sign the grant application and award, the Project Director who is responsible for the project, and the Financial Officer who is responsible for maintaining the accounting and financial records of the grant. Please provide an Organizational Chart.



Authorizing Official  
Mayor Robert Craft



Project Director  
Dan Bond Environmental Grants Coordinator



Mark Acreman, P.E. - Construction  
Director of Public Works



Grant Brown - Operations  
Director of Recreation & Cultural Affairs



Cindy King - Financial Officer  
Director of Finance and Administration

#### 6. Possible Material Risks to Implement and Maintain the Proposed Activity

Directions: List the possible material risks, e.g., operational, legal, regulatory, budgetary, or ecological risks, with a brief discussion of mitigation strategies that the applicant may need to address in order to implement and/or maintain the proposed activity. If the applicant determines that there are no material risks to implement and maintain the proposed activity, then put 'None' in the Risk column below.

Risks:

None

Mitigation Strategy:

NA

#### 7. Permits, Land Acquisition, Construction, and Relocation Assistance

Directions: Answer the following items concerning permits, construction, land acquisition, and relocation assistance, if applicable.

(a) Permits

Does the proposed activity require any federal, tribal, state, or local permits? For potential federal permits needed, see: (<https://www.permits.performance.gov/tools/federal-environmental-review-and-authorization-inventory>). If yes, list the specific federal, tribal, state, or local permits required for this project and the status of the permits:

No

(b) Land Acquisition and Construction Activities

Will land be improved? If yes, answer questions i-vi

Yes

No

**Will land or interest in land be acquired? If yes, answer questions i-vii**

Yes  No

**i. What are the legal rights that will be acquired?**

Fee Title  Easement  Other \_NA\_\_\_\_\_

**ii. If an easement, what is the life of the easement?**

NA

**iii. Who will hold title to the land?**

NA

**iv. What is the total acreage of the proposed property interest to be acquired (easement or fee title)?**

NA

**v. Has the applicant obtained a recent certified appraisal of the property? If yes, attach a copy of the appraisal.**

Yes  No

**vi. Has the applicant obtained a recent title opinion or certificate? If yes, attach a copy of the title opinion or certificate.**

Yes  No

**vii. Attach a signed statement from the seller(s) that he/she is a willing seller and has not been coerced into selling or conveying the property interest.**

NA

**viii. Attach the legal description of the property and the tax parcel number.**

NA

**(c) Relocation Assistance**

**Will the proposed project cause the displacement of any persons, businesses, or farm operations? If yes, as required by Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970, explain: the number of displaced persons, including businesses and farm operations; what fair and reasonable relocation payments and advisory services will be provided to any displaced persons; and what provisions will be made to ensure that safe, decent, and sanitary replacement dwellings will be available to such persons within a reasonable period of time prior to displacement.**

No

**8. Additional Project-Specific Factors**

**- Please discuss the proposed project's short-term and long-term benefits**

Tourism is a critical component of the Alabama economy. According to the Alabama Tourism Department, an estimated 24.3 million people visited the state during 2014, spending an estimated \$11.7 billion. The Alabama Tourism Department estimated that tourism resulted in \$768 million in state and local tax revenues. The cities of Gulf Shores and Orange Beach are important tourism destinations in Alabama, known for their beautiful beaches, restaurants, and shopping. According to Gulf Shores & Orange Beach Tourism, an estimated 5.7+ million people visited Baldwin County in 2014, which represents an estimated 23 percent of total visitors to the state of Alabama. Overall, Alabama's Gulf Coast is responsible for an estimated 8.7 million visitors to the state and \$22 million in lodging tax – 38% of the state total.

As a major destination, GSAEP will positively influence tourism to Baldwin County and the state of Alabama. According to Gulf Shores & Orange Beach Tourism's Summer 2014 Visitor Profile Study, 72% of the visitors to the area were married, with an average household income of \$92,500. As such, the quality of the experience at GSAEP should appeal to the typical Baldwin County visitor. With the majority of tourism consisting of family households, GSAEP will likely appeal to families seeking a quality ecotourism opportunity as part of their Gulf Coast experience. GSAEP will offer these visitors an interactive, educational and immersive experience which will complete the perfect Alabama Gulf Coast vacation.

According to Gulf Shores & Orange Beach Tourism's Spring 2015 Visitor Profile, the average length of paid overnight stays to the area was 5 nights, which was the stated average in the Summer 2014 Visitor Profile released by the agency. For that reason, it will be important for GSAEP to offer short-term, impactful programs that will appeal to these visitors as an achievable vacation activity. These visitor profiles also claim that 77% of responding travelers have visited the area previously – most visiting slightly less than once per year. With the area achieving such a high rate of repeat visitation, GSAEP will offer evolving programs in order to maximize the appeal from repeat visitors. GSAEP will introduce new programs on an annual basis in order to maximize attendance while offering programs which will provide quality environmental education focused

The environmental impacts of the *Deepwater Horizon* Oil Spill in the northern Gulf of Mexico are not yet fully understood, and it may take decades for this vital ecosystem to recover. This tragic event served to remind us how closely connected our way of life on the coast is to a healthy, clean environment. Ecotourism programs based on sound science are critical to raising environmental awareness, promoting stewardship, increasing community resilience, protecting natural resources, and improving our quality of life. The Gulf Shores Ambassadors of the Environment Project will create a unique, world class ecotourism facility on the northern coast of the Gulf of Mexico, an area that was directly and significantly impacted by the *Deepwater Horizon* Oil Spill.

**- The purpose of the RESTORE Act is to provide funding for ecological and economic recovery efforts for damages incurred as a result of the Deepwater Horizon Oil Spill. Please discuss how the proposed project's implementation will prevent any adverse impacts elsewhere.**

The project will not adversely impact any other natural or economic resources in the region. It will enhance and improve both natural and economic resources in the region by promoting ecotourism and stewardship activities.

**- Does the proposed project expand or promote an existing industry or offer diversification? If so, please explain:**

The project will expand and diversify the existing ecotourism industry resources in Baldwin County that includes Gulf State Park, the Bon Secour Wildlife Refuge, Weeks Bay Reserve, and the recreational charter fishing industry. This program will also greatly complement and diversify the tourism market in Baldwin County by creating an internationally known program for ecotourism in our area.

**- Does the proposed project create short-term job opportunities? If so, how many? Please justify:**

Short-term job opportunities will include design consultants, surveys, engineering, and construction jobs. The total construction costs of \$9,772,213 will directly create many short-term jobs in our area for the first 36 months of the project. Short-term, seasonal jobs will also be created by the need for Naturalist Positions to operate the program.

**- Does the proposed project create long-term job opportunities? If so, how many? Please justify:**

Based on similar programs in the state such as Space Camp in Huntsville, the Ambassadors program planning effort analyzed operating procedures, administration, typical camp sizes, staffing needs, logistics, and facility requirements to estimate potential operating costs and revenue for the Gulf Shores Ambassadors Program.

Modeled after the Ocean Futures Society’s Catalina Island program, the camp will require full time, part-time, and seasonal staff positions including a Director, Program Managers, Kitchen Staff, and Maintenance/Custodial Staff. It is estimated that the model program will require 4 Senior Naturalists and 8 Naturalists. These positions are seasonal in nature and city staff will work to determine the most effective and appropriate personnel scheduling (full-time, part-time, contract).

Certification programs, such as the American Camp Association and staff training certifications, should be considered as well. Ropes courses will require additional training and annual inspections. All staff will be CPR and Lifeguard certified, as well as prepared for other emergencies.

**- Please discuss how the proposed project will be sustained post-implementation including any annual recurring costs**

Like virtually all cultural, conservation, and ecotourism destinations, GSAEP will generate revenues from a wide variety of sources. Operational Revenue sources will include fees, daily workshop passes, and membership fees. Non-Operational Revenue sources may include endowments, grants, gifts, contributions, fund-raising events, bequests, and so forth. These many revenue sources are needed to meet the institution’s operating costs and to contribute to future facility re-investment and capital maintenance. The stable year Operational Revenue potential for the GSAEP is estimated to be approximately \$5.6 million in current dollars based on the findings and assumptions of this report. Non-Operational Revenues have been estimated at \$1.8 million in a stabilized year in current dollars (25% of total revenues). Total revenue potential for GSAEP as proposed in a mid-range attendance scenario is \$7.4 million.

**Ambassador School Programs**

The Ambassador School Program will be GSAEP’s most popular, year-round revenue source. With the number of school-aged children within the overall Resident Market Area, GSAEP is well-positioned to be a major destination as a key educational resource outside of the classroom. By year three, representing a stable year, GSAEP projects to become an annual ecotourism destination for 2.5 to 3 percent of students in the Resident Market Area.

Ambassador School Program	Estimated 2015 Market Population	Market Penetration Rates		Visitation Range		
		Low	High	Low Range Visitation	Mid Range Visitation	High Range Visitation
Resident Market						
Ambassador School Program	334,696	2.50%	3.00%	8,367	9,204	10,041

**Ambassador 3 and 5-Day Camps**

Based on the projected operation plan and comparisons to similar camp programs, we feel the Ambassadors program offers a relatively high-end camp experience for visitors. Located in a popular tourist destination, the combination of a well-known brand (Jean-Michel Cousteau, Ocean Futures Society) and access to 6,150 acres of amenities in Gulf State Park creates national marketing opportunities for the program to draw participants from across the country. We have estimated the cost/value of the 5-day program to fall somewhere between \$400 and \$600. Using an estimated fee of \$450 per session, it is projected that the program will need to reach 85% capacity during the fall and spring semesters to cover operating costs, with extra revenues generated by summer programs (camps, teacher workshops, etc.). Our potential revenue estimates are based on the following schedule, with 85% capacity:

- Fall and Spring sessions will be Monday-Friday (4 nights, 5 days)
- Summer sessions will be 2 nights, 3 days
- Initially, camp will be closed on weekends, with potential to open for day trips
- Cost per student will be approximately \$450 for Spring/Fall, \$1000 for Summer Camp

- Cost per chaperone (teachers) will be approximately \$750 for Spring/Fall and \$450 for Summer sessions.
- Facility will accommodate up to 80 students + 8 Chaperones (1 per 10)

Ambassador 3 and 5-Day Camp Revenue Projections	Students			Parent		Number of Sessions	Total Estimated Revenue
	per Session	Cost per Student	Parent per Session	Cost per Parent			
Fall Semester	68	\$450	7	\$225	12	\$386,100	
Spring Semester	68	\$450	7	\$225	12	\$386,100	
Summer Programs	68	\$1,000	7	\$500	24	\$1,716,00	
<b>TOTAL</b>	<b>204</b>		<b>21</b>		<b>48</b>	<b>2,488,200</b>	

Each year, GSAEP will provide a limited number of camp scholarships to families with the commitment of making sure GSAEP can be accessible to all economic levels, no matter what the circumstances. Given the expected cost of some of GSAEP's camp programs, the scholarships will provide an opportunity for inspired kids to further their development related to biodiversity, ecology, sustainability, and resource management. GSAEP's scholarships will be based on financial need and a portion of GSAEP's capital reserves will set aside each year for the scholarship program.

### Ambassador Daily Workshops

Ambassador Daily Workshops will likely be GSAEP's largest revenue generator, as they will target the 5.7 million annual visitors to Baldwin County which will likely not have the time to dedicate to GSAEP's other programs. In addition, the daily workshops will offer families a powerful educational and interactive experience unique to the area. By year 3, representing a stable year, it is projected that GSAEP will capture a conservative 0.4% of the annual visitors to the area, representing 22,800 participants of the Ambassador Daily Workshops at GSAEP, most in a relatively short amount of time – Spring through Summer. The rate for adults and children will vary relative to the type of workshop, but preliminary projections are for the workshops to result in an average rate of \$65 per person.

#### Preliminary Operational Revenue Summary Ambassador Daily Workshop Program

	Estimated 2015 Market Population	Market Penetration Rates		Visitation Range		
		Low	High	Low Range Visitation	Mid Range Visitation	High Range Visitation
Resident Market						
Ambassador Daily Workshops	5,700,000	0.20%	0.40%	11,400	17,100	22,800

During peak season, GSAEP will offer up to 12 daily workshops throughout the day and evening hours. It is estimated that GSAEP will likely hire three (3) additional Ambassador Naturalists during peak season. Great care will be taken to ensure that the design of subject matter or the activities in the daily workshops will not interfere with the revenue of private businesses. The daily workshops are not meant to be a substitute service for existing services offered by private businesses; rather, they are meant to be a source of cultural and educational diversity for the area which will further promote Gulf Shores as an exemplary family destination.

### Memberships

Many environmental and education facilities, such as GSAEP, offer membership programs. Memberships can be an important revenue source. Due to the scale of the overall Resident Market, annual membership to GSAEP has the potential to be significant. Initial plans would be to offer a variety of membership programs, including Individual, Dual, Family, Donor, and Sponsor level memberships.

Memberships might be a critical tool in maximizing revenue. Memberships could provide patrons with unlimited access to GSAEP's Ambassador Daily Workshops and a 50 percent discount on all 3 and 5-day camp sessions. A Sponsorship Level membership would be suitable for a hotel or resort offering their guests complimentary access to GSAEP.

Individual: unlimited access to GSAEP's Ambassador Daily Workshops and a 15 percent discount on all 3 and 5-day camp sessions

Dual: unlimited access for two (2) to GSAEP's Ambassador Daily Workshops and a 15 percent discount on all 3 and 5-day camp sessions

Family: unlimited access for four (4) to GSAEP's Ambassador Daily Workshops and a 15 percent discount on all 3 and 5-day camp sessions

Donor: Fifty (50) percent discount to all Ambassador Workshops for up to 100 people

Sponsor: Complimentary access to all Ambassador Workshops for up to 100 people

With the significant amount of hotel properties, resorts, and condo associations in the area, the Donor and Sponsor Level Memberships could be a source for these properties to offer their guests an additional amenity to one of the area's most popular and unique attractions. In addition, both the Donor and Sponsor Level memberships offer businesses in the area a way to donate goodwill to GSAEP while offering their employees an additional benefit.

#### Membership Estimates

Membership Types	Percent to Total	Estimated Number of Memberships	Avg. Price By Type	Total Revenue
Individual	18.5%	183	\$100	\$18,300
Dual	24.5%	243	\$180	\$43,740
Family	55.75%	552	\$350	\$193,200
Donor	0.50%	5	\$2,500	\$12,500
Sponsor	0.75%	7	\$5,000	\$35,000
<b>TOTAL</b>	<b>100.0%</b>	<b>990</b>		<b>\$302,740</b>

NOTE: estimates are for stable year (year 3)

#### Annual Events & Retail

In addition to the above operational revenue sources, GSAEP will hold special events throughout the year to promote the facility's mission. These events might include Family Camp, a weekend-long camp for families focused on our most popular environmental programs.

GSAEP will also offer retail goods for sale which promote Gulf Shores Ambassadors for the Environment Program. Our projections are for the Retail Sales to average \$3.50 per attendee in annual sales.

#### Operational Revenue Summary

The following table illustrates mid-range visitation projections from the above Operational Revenue sources during a stable year. These figures have been estimated in part on both an analysis of the market potential of GSAEP and from case studies of similar programs.

#### Preliminary Operational Revenue Summary

Gulf Shores Ambassador Program	Visitation Range			
	Low Range Visitation	Mid Range Visitation	High Range Visitation	Mid Range Total Revenue
<b>Revenue Category</b>				
Ambassador School Program	8,367	9,204	10,041	\$322,145
Ambassador 3 to 5-Day Camps	156	204	252	\$2,488,200
Ambassador Daily Workshops	11,400	17,100	22,800	\$1,111,500

Ambassador Memberships	850	990	1,150	\$302,740
Annual Events	380	450	520	\$112,500
<b>TOTAL</b>	<b>21,153</b>	<b>27,948</b>	<b>34,763</b>	<b>4,337,085</b>
<i>Retail Sales</i>	\$74,037	\$97,818	\$121,670	\$97,818
<b>TOTAL ANNUAL OPERATING REVENUE</b>				<b>4,434,905</b>

**NONOPERATIONAL REVENUE**

An important revenue source for facilities such as GSAEP are Non-Operational Revenues derived from sources such as endowment, contributions, fundraising events, grants, and supportive level memberships, as well as miscellaneous revenues. Under this plan, GSAEP would be active in generating substantial Non-Operational Revenues. Nationwide, virtually all not-for-profit museums, nature-based attractions, and science centers receive a substantial share of their total revenues from such sources. The amounts of Non-Operational Revenues that such facilities receive can vary widely based on their particular circumstances, and the aggressiveness of their organizations.

GSAEP is expected to engage in ongoing fundraising, to secure operating grants, and to establish financial reserves and endowment. This is typical in the U.S., and it is reasonable to assume that GSAEP would be well-positioned to attract such revenues. Further, developing a unique, cause-related institutional position statement, vision, and mission will enhance the institution’s ability to attract operating funds.

For the purpose of the following revenue and expense projections, an estimated \$375,000 in Non-Operational Revenues is targeted for a stable year of operations. It is anticipated that GSAEP would seek larger amounts to fund additional programs and activities, enhance its market position, build its endowment and capital reserves, and to fund capital improvements.

**Five-Year Attendance Patterns**

During the first few years after opening, the project would be expected to achieve a lower attendance than in later “stable” years of operation as the facility gains exposure within the market. However, early year surge can sometimes be typical of new visitor destinations, especially among high profile attractions in large metro areas that generate a significant amount of pre-opening publicity through multiple media channels targeted to prime audiences. Early interest in the facility would likely be highest in the Primary and Secondary Resident Market Areas. In order to obtain stability, Years 1 and 2 will be critical in getting GSAEP included on more school field trip itineraries and in building awareness in the visitor (or tourist) markets.

**Early Year Attendance Factors and Attendance Growth Pattern  
Gulf Shores Ocean Futures**

	YEAR 1	YEAR 2	STABLE YEAR 3	YEAR 4	YEAR 5
Percentage of Stablized Attendance	75.0%	90.0%	100.0%	103.0%	101.0%
Mid Range Visitation Potential	20,961	25,153	27,948	28,787	28,228

*\* Stablized attendance expected to occur in Year Three. This analysis assumes that the full facility will be open for Year One of operations.*

**SEASONALITY**

The seasonality of both attendance and revenue for GSAEP will be influence by the substantial tourist market which

visits the area primarily in the spring and summer months. The seasonality of the attendance will influence the Ambassador Daily Workshop Program more than any other program. Increases in many staff positions, such as Naturalists, will need to be managed in order to respond effectively to dramatic shifts in attendance volume.

**Monthly Attendance Distribution  
Gulf Shores Ambassadors of the Environment**

	Low Attendance Scenario		Mid-Range Attendance		High Attendance Scenario	
	Seasonality	Total Visitation	Seasonality	Total Attendance	Seasonality	Total Attendance
January	2%	423	2%	559	2%	695
February	3%	635	3%	838	3%	1,043
March	8%	1,692	8%	2,236	8%	2,781
April	8%	1,692	8%	2,236	8%	2,781
May	6%	1,269	6%	1,677	6%	2,086
June	14%	2,961	14%	3,913	14%	4,867
July	24%	5,077	24%	6,708	24%	8,343
August	12%	2,538	12%	3,354	12%	4,172
September	7%	1,481	7%	1,956	7%	2,433
October	8%	1,692	8%	2,236	8%	2,781
November	6%	1,269	6%	1,677	6%	2,086
December	2%	423	2%	559	2%	695
<b>Total</b>	<b>100%</b>	<b>21,153</b>	<b>100%</b>	<b>27,948</b>	<b>100%</b>	<b>34,763</b>

**NET INCOME SUMMARY**

Based on the above growth and seasonality factors, the following Net Income Summary provides a basis for the facility's sustainability. Based on the analysis in this plan, GSAEP has the potential to operate successfully in a very short amount of time. The success of the facility is based on the assumptions that the facility will attempt to target multiple revenue streams, will appropriately manage the quality of facility development, and will effectively manage costs to maintain positive cash flow. Positive cash flow can be attained in Year 2 which is a strong rate for a successful, well-run facility of this scale and type and reflects the strong economic diversity of Gulf Shores. Diversified and creative sources of revenue and sound fiscal management will assist GSAEP to sustain its operations and provide a valuable resource for conservation, ecotourism, and enjoyment in the Gulf Shores area.

**Net Income Summary**

	<b>STABLE</b>				
	<b>YEAR 1</b>	<b>YEAR 2</b>	<b>YEAR 3</b>	<b>YEAR 4</b>	<b>YEAR 5</b>
<b>REVENUE</b>					
Operational Revenues	\$3,326,178	\$3,991,413	\$4,434,903	\$4,567,950	\$4,479,252
Scholarships Applied	(\$37,285)	(\$54,079)	(\$65,274)	(\$67,334)	(\$65,143)
Non-Operational Revenue	\$281,250	\$337,500	\$375,000	\$386,250	\$378,750
Operating Reserves Interest	NA				
<b>Total Revenue</b>	<b>\$3,570,142</b>	<b>\$4,274,834</b>	<b>\$4,744,629</b>	<b>\$4,886,867</b>	<b>\$4,792,860</b>
<b>EXPENSES</b>					
Operating Costs	\$3,241,558	\$3,423,045	\$3,544,036	\$3,645,302	\$3,618,703
Capital Reserves	\$328,584	\$851,790	\$1,200,593	\$1,241,565	\$1,174,156
<b>Total</b>	<b>\$3,570,142</b>	<b>\$4,274,834</b>	<b>\$4,744,629</b>	<b>\$4,886,867</b>	<b>\$4,792,860</b>
<b>NET REVENUE</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>	<b>\$0</b>

- Please discuss how the proposed project will use cutting-edge technology (i.e., LID, LEED, permeable surfaces)

The City of Gulf Shores will construct a new state-of-the-art facility, utilizing the latest green building technologies, on 11.86 acres of City-owned property located adjacent to Gulf State Park. The campus architecture will mimic



functional natural cycles found in the many habitat types that are easily accessible here, including the open waters of the Gulf of Mexico, beaches and coastal dune systems, brackish and salt marshes, large and small estuaries, maritime and upland forests, and freshwater rivers and wetlands. The campus will be able to draw its energy from the sun, take advantage of our abundant rainfall to grow food and shade trees, and provide healthy and accessible indoor and outdoor environments for learning. The buildings will not only bring students out into nature, but also strive to bring the outside in. The campus itself will serve as a teaching tool, providing students with experiential connections to nature.

The project will be housed in a beautiful coastal forest, immediately adjacent to 6,150 protected acres of Gulf State Park. Tourists will have access to coastal dunes, freshwater lakes, brackish wetlands, beaches, and maritime forests. The project facilities will be designed with a combination of climate responsive architecture and high performance systems to create a net positive energy campus, powered by available natural resources of sun, wind, and light. Camp facilities will meet the highest standards for resiliency.

All buildings will draw upon historic examples of passive cooling such as, generous roof overhangs and shade porches to temper the sun, thermal chimneys exhaust heat and pull in cool breezes when the temperature and humidity permit, "Dogtrot" designs in camper cabins use the Venturi effect to cool outdoor classrooms, high windows harvest "cool" daylight, while maintaining camper privacy, shade Structures around camp accommodate sheltered outdoor learning, vertical gardens shade and filter sunlight on south side of great hall. The buildings will demonstrate both energy conservation and renewable energy production; including solar thermal panels that heat water with the sun, grid tied photovoltaic panels to provide electricity.

Recycled and repurposed building material will create architectural focal points. Interior finishes will showcase salvaged and locally harvested wood, exterior finishes are selected for longevity and low maintenance, Structural elements of buildings are designed to be exposed, eliminating unnecessary finishes, Buildings and shade structures are designed for future deconstruction and material reuse, Recycling is integrated into all waste collection on campus, All food waste is composted and use to fertilize gardens and restore the native landscapes.

Camp facilities will celebrate and restore the natural water cycle with design that showcases water collection, stormwater management, and natural processes of water treatment. Water conserving plumbing fixtures will minimize waste, exterior building materials will not leach harmful chemicals into the soil. Rainwater is collected in a water tower and underground cisterns at the great hall, landscape design uses stormwater to create pockets of restoration , used water treated in "Living Machine" treatment wetland, gray water from sinks is used to irrigate shade trees and landscaping.

The camp facilities will be designed to promote both human and environmental health. Buildings will offer a healthy indoor environment and strong connection to the outdoors. The landscape will be restored and enhanced to provide optimal wildlife habitat for migratory birds, pollinators, and other native wildlife. The project will be constructed as an example of sustainable construction practices.

- Because the Gulf Coast Restoration Trust Fund will receive deposits over a 15-year period, the Council may consider funding projects in phases. In the event this proposed project is not fully funded, please discuss how the project might be implemented in phases. Keep in mind each phase must result in a stand-alone product.

There may be the potential to coordinate an initial phase of this project with Gulf State Park. GSP is currently constructing facilities on its learning campus that have the potential to house participants for the Ambassadors Program until the Program exceeds the capacity of GSP facilities. This could allow for the phasing of construction to allow the program to begin operation with common areas and instructor housing completed, with participant housing to be completed at a later time. This approach would require considerable coordination between the City, Gulf State Park, Ocean Futures Society, and the GSP Operator - Valor Management.

Rev. 8/10/17

**RESTORE Act Environmental Checklist**  
**Department of the Treasury**

OMB Approval Number 1505-0250

*Directions: The following questions will aid the applicant in identifying the environmental laws that may apply to the eligible activity and the environmental documents that may be required from listed agencies and submitted with the grant application. Follow up to the questions should be listed in the table located on the last page of the checklist. Treasury will use the submittals to record the Applicant's assertion that it has complied with applicable environmental laws.*

**PROPOSED PROJECT NAME:** Ambassadors of the Environment - Gulf Shores  
**APPLICANT NAME:** City of Gulf Shores, Alabama

**FEDERAL LAWS**

**1.1 NATIONAL ENVIRONMENTAL POLICY ACT (NEPA)**

The NEPA of 1969 (42 U.S.C. 4321 et seq.) provides a national policy that encourages "productive and enjoyable harmony between man and his environment; to promote efforts which will prevent or eliminate damage to the environment and biosphere and stimulate the health and welfare of man . . ." The NEPA requires that all federal agencies use a systematic, interdisciplinary approach for protection of the human environment; this approach will ensure the integrated use of the natural and social sciences in any planning and decision-making that may have an impact upon the environment. The NEPA also requires the preparation of a detailed Environmental Impact Statement (EIS) on any major federal action that may have a significant impact on the environment. An Environmental Review may be required based on the answers to the following questions:

1) Will the proposed activity be under the permitting authority of any federal agency?

Yes  No

2) Will the proposed activity receive federal assistance (other than RESTORE Act funding)?

Yes  No

3) Will the proposed activity be subject to any federal regulatory decision or approval?

Yes  No

If the answer to any of these questions is "yes," contact the relevant federal agency or agencies for further guidance on environmental compliance. Additional information concerning NEPA can

According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 1505-0250. Comments concerning the time required to complete this information collection, including the time to review instructions, search existing data resources, gathering and maintaining the data needed, and completing and reviewing the collection of information, should be directed to the Department of the Treasury, Office of Gulf Coast Restoration, 1500 Pennsylvania Ave., NW, Washington, DC 20220.

be found at: <https://ceg.doe.gov/>.

- 4) Has any environmental review (e.g., NEPA documentation or state or tribal equivalent) been prepared for this proposed eligible activity?

Yes  No

If yes, please attach a copy of the documentation to this checklist.

### 1.2. COASTAL ZONE MANAGEMENT ACT (CZMA)

A federal consistency determination or certification pursuant to Section 307 of the Coastal Zone Management Act may be required from the state coastal zone management program, based on the answers to the following questions:

- 1) Will the proposed activity occur in or near the state designated coastal zone (<https://coast.noaa.gov/czm/media/StateCZBoundaries.pdf>)?

Yes  No

- 2) Is the activity likely to have reasonably foreseeable effects on any land or water use or natural resource of the designated coastal zone?

Yes  No

If the answer to either of these questions is "yes," contact the State Coastal Zone Management Program (<https://coast.noaa.gov/czm/about/?redirect=301ocm>) for further guidance on federal consistency requirements in your state. Additional information on federal consistency can be found at: <https://coast.noaa.gov/czm/consistency/>.

### 1.3 ENDANGERED SPECIES ACT (ESA)

A consultation pursuant to Section 7 of the Endangered Species Act and/or a permit and conservation plan pursuant to Section 10 may be required based on the answers to the following questions:

- 1) Will the proposed activity occur in proximity to threatened or endangered species or critical habitat as defined by the ESA and under the jurisdiction of the National Marine Fisheries Service (NMFS) (<http://www.nmfs.noaa.gov/pr/laws/esa/>) or the U.S. Fish and Wildlife Service (USFWS) (<http://www.fws.gov/endangered/>)?

Yes  No

- 2) Will the proposed activity potentially affect threatened or endangered species or critical habitat as defined by the ESA and under the jurisdiction of NMFS or USFWS?

Yes

No

If the answer to either of these questions is "yes," or you are unsure, contact the regional office of USFWS (<http://www.fws.gov/offices/>) and/or NMFS (<http://www.nmfs.noaa.gov/>) to determine if consultation is required. Most consultations are conducted informally with the federal agency or a designated non-federal representative. Non-federal representatives may be involved in the informal consultation process and may request and receive species lists, prepare the biological assessment, and provide information for the formal consultation. However, the USFWS requires the action agency to designate formally the non-federal representative in writing. Moreover, the ultimate responsibility for Section 7 obligations remains with the action agency. Additional information concerning Section 7 consultations can be found in the Endangered Species Act Consultation Handbook at: <http://www.fws.gov/policy/m0002.html>. Additional information concerning Section 10 permits and conservation plans can be found at: [http://www.nmfs.noaa.gov/pr/permits/ESA\\_permits.html](http://www.nmfs.noaa.gov/pr/permits/ESA_permits.html).

**1.4 MIGRATORY BIRD TREATY ACT AND BALD AND GOLDEN EAGLE PROTECTION ACT** The Migratory Bird Treaty Act makes it illegal for anyone to take, possess, import, export, transport, sell, purchase, barter, or offer for sale, purchase, or barter, any migratory bird, or the parts, nests, or eggs of such a bird except under the terms of a valid permit issued pursuant to Federal regulations. The migratory bird species protected by the Act are listed in 50 C.F.R. 10.13. The Bald and Golden Eagle Protection Act prohibits anyone, without a permit issued by the Secretary of the Interior, from "taking" bald eagles [or any golden eagle], including their parts, nests, or eggs. The Act defines "take" as "pursue, shoot, shoot at, poison, wound, kill, capture, trap, collect, molest or disturb." A permit may be required based on the answers to the following questions:

- 1) Will the proposed activity affect any migratory bird species protected by the Migratory Bird Treaty Act?

Yes

No

- 2) Will the proposed activity affect any bald or golden eagles protected by the Bald and Golden Eagle Protection Act?

Yes

No

If the answer to either question is "yes" or you are unsure, contact the regional office of USFWS (<http://www.fws.gov/offices/>). More information can be found at: <http://www.fws.gov/birds/policies-and-regulations/laws-legislations/migratory-bird-treaty-act.php> and <http://www.fws.gov/birds/policies-and-regulations/laws-legislations/bald-and-golden-eagle-protection-act.php>.

### 1.5 MAGNUSON – STEVENS FISHERY CONSERVATION AND MANAGEMENT ACT (FCMA)

Consultation with the NMFS may be required if Essential Fish Habitat (EFH) is present and based on the answers to the following questions:

- 1) Will the proposed activity occur in proximity to Essential Fish Habitat as identified by the nearest Regional Fishery Management Council (<http://www.fisherycouncils.org/> and <http://www.nmfs.noaa.gov/sfa/management/councils/>)

Yes  No

- 2) Will the proposed activity potentially adversely affect EFH?

Yes  No

If the answer to either of these questions is “yes” or you are unsure, contact the nearest regional office of the NMFS (<http://www.nmfs.noaa.gov/>) or Regional Fishery Management Council (<http://www.fisherycouncils.org/>) to determine if consultation is required. Additional information concerning EFH can be found at: <http://www.habitat.noaa.gov/index.html>. Information about consultations can be found in the Essential Fish Habitat Consultation Guidance at: [http://www.habitat.noaa.gov/pdf/efhconsultationguidancev1\\_1.pdf](http://www.habitat.noaa.gov/pdf/efhconsultationguidancev1_1.pdf).

### 1.6 MARINE MAMMAL PROTECTION ACT (MMPA)

A permit may be required if an activity will result in the “take” of a marine mammal. Taking is defined as “to harass, hunt, capture, or kill, or attempt to harass, hunt, capture, or kill any marine mammal.” Permits for most marine mammals are issued by NMFS. Manatees, polar bears, sea otters, walruses, and dugongs, however, are under the jurisdiction of the USFWS.

- 1) Will the proposed activity occur in proximity to any known marine mammals (<http://www.nmfs.noaa.gov/pr/species/mammals/>)?

Yes  No

- 2) Will the proposed activity likely result in the take of a marine mammal?

Yes  No

If the answer to either of these questions is “yes,” or you are unsure, contact the nearest regional office of NMFS (<http://www.nmfs.noaa.gov/>) to determine if a permit is required. Additional information concerning marine mammal permits can be found at: <http://www.nmfs.noaa.gov/pr/> and [http://www.nmfs.noaa.gov/pr/permits/mmpa\\_permits.html](http://www.nmfs.noaa.gov/pr/permits/mmpa_permits.html).

### 1.7 MARINE PROTECTION, RESEARCH, AND SANCTUARIES ACT (MPRSA)

Titles I and II of the Marine Protection, Research, and Sanctuaries Act (MPRSA), also referred to as the Ocean Dumping Act, generally prohibits (1) transportation of material from the United States for the purpose of ocean dumping; (2) transportation of material from anywhere for the purpose of ocean dumping by U.S. agencies or U.S.-flagged vessels; (3) dumping of material transported from outside the United States into the U.S. territorial sea. A permit may be required based on the answers to the following questions:

1) Does the proposed activity involve an activity covered by the MPRSA?

Yes

No

If the answer to this question is "yes," contact the Environmental Protection Agency's (EPA's) Office of Wetlands, Oceans, and Watersheds/Oceans and Coastal Protection Division for further guidance (<http://www.epa.gov/aboutepa/about-office-water#wetlands>). Additional information about permits under the MPRSA can be found at: <http://www.epa.gov/laws-regulations/summary-marine-protection-research-and-sanctuaries-act>.

### 1.8 NATIONAL MARINE SANCTUARIES ACT

Each National Marine Sanctuary has its own unique set of regulations. There are some regulatory prohibitions that are typical for many sanctuaries: 1) discharging material or other matter into the sanctuary; 2) disturbance of, construction on or alteration of the seabed; 3) disturbance of cultural resources; and 4) exploring for, developing or producing oil, gas or minerals (with a grandfather clause for preexisting operations). A permit may be required from the National Oceanic and Atmospheric Administration (NOAA) based on the answers to the following questions:

1) Is the proposed activity located in a National Marine Sanctuary (<http://sanctuaries.noaa.gov/about/regions.html>)?

Yes

No

If the answer to this question is "yes," contact the nearest Regional Office of NOAA's National Marine Sanctuaries Program for further guidance (<http://sanctuaries.noaa.gov/about/southeast.html>).

### 1.9 CLEAN WATER ACT (CWA)

A separate type of permit is required to dispose of dredge or fill material in the Nation's waters, including wetlands. Authorized by Section 404 of the Act, this permit program is administered by the U.S. Army Corps of Engineers (USACE), subject to and using environmental guidance from the EPA. Some types of activities are exempt from permit requirements, including certain farming, ranching, and forestry practices that do not alter the use or character of the land; some construction and maintenance; and activities already regulated by States under other provisions of the Act. A permit may be required from the USACE based on the answers to the following

questions:

- 1) Will the proposed activity result in any disposal of dredge or fill material to the nation's waters or wetlands?

Yes  No

If the answer to this question is "yes," contact the Regulatory Program of the nearest District Office of the USACE (<http://w3.saj.usace.army.mil/permits/HQAvatar/index.htm>) for further guidance on Section 404 permits.

A Water Quality Certification (Section 401) is required for activities that may result in a discharge into navigable waters, including wetlands, watercourses, and natural or man-made ponds. A National Pollution Discharge Elimination System (NPDES) permit may also be required for such discharges.

- 1) Will the proposed activity result in any discharge to navigable waters?

Yes  No

If the answer to this question is "yes," contact your state water quality agency for additional guidance. Additional information concerning Section 401 or NPDES requirements can be found at: <http://www.epa.gov/owow/wetlands/waterquality> and <http://cfpub.epa.gov/npdes/>

#### 1.10 CLEAN AIR ACT (CAA)

Special conditions may be required on projects that could affect air quality, based on the answers to the following questions:

- 1) Will the proposed activity result in any direct or indirect emissions within a non-attainment area (<http://www3.epa.gov/airquality/greenbook/define.html>)?

Yes  No

If the answer to this question is "yes," contact the nearest state air quality agency (<http://www.4cleanair.org>) for further guidance on determining conformity with the state implementation plan.

#### 1.11 NATIONAL HISTORIC PRESERVATION ACT (NHPA) AND THE ARCHAEOLOGICAL AND HISTORIC PRESERVATION ACT (AHPA)

Special conditions may be required on projects that could affect historic resources, based on the answers to the following questions:

- 1) Will the proposed activity occur near property listed or eligible for listing in the National Register of Historic Places (<http://www.nps.gov/nr>), or near property otherwise protected

by section 106 of the National Historic Preservation Act (<http://www.achp.gov/nps.html>) or a similar State Preservation Act?

Yes  No

If the answer to this question is "yes," or you are unsure, contact your state historic preservation office (<http://www.ncshpo.org/>) for further guidance concerning compliance requirements.

#### 1.12 COASTAL BARRIER RESOURCE ACT (CBRA)

Federal funding may be prohibited for projects that occur on certain designated coastal barriers, based on the answer to the following questions:

- 1) Is the proposed activity located on an undeveloped coastal barrier designated by the Coastal Barriers Resources Act (<http://www.fws.gov/cbra/>)?

Yes  No

If the answer to this question is "yes," contact the nearest Regional Office of USFWS (<http://www.fws.gov/where>) for further guidance.

#### 1.13 RIVERS AND HARBORS ACT

A permit may be required from the USACE based on the answers to the following questions:

- 1) Will the proposed activity involve any work (including structures) that will occur in, over or under navigable waters of the United States?

Yes  No

If the answer to this question is "yes," contact the Regulatory Program of the nearest District Office of the USACE (<http://w3.saj.usace.army.mil/permits/HQAvatar/index.htm>) for further guidance on Section 10 permits. The USACE can authorize activities by a standard individual permit, letter-of-permission, nationwide permit, or regional permit. The USACE will make the determination on what type of permit is needed.

#### 1.14 RESOURCE CONSERVATION AND RECOVERY ACT (RCRA)

A RCRA permit may be required from the EPA or designated state agency based on the answers to the following question:

- 1) Will the proposed activity include the long-term storage, treatment, or disposal of hazardous materials or petroleum products?

Yes  No

If the answer to this question is "yes," contact the nearest RCRA Regional Office of the EPA or state authorized agency (<http://www.epa.gov/compliance/resource-conservation-and-recovery->



[act-rcra-compliance-monitoring](#)) for further guidance on RCRA compliance.

**1.15 COMPREHENSIVE ENVIRONMENTAL RESPONSE, COMPENSATION, AND LIABILITY ACT (CERCLA)**

Special provisions and requirements may apply based on the answer to the following question: (<http://www.epa.gov/superfund/sites/index.htm>).

1) Will the proposed activity involve a Superfund site?

Yes  No

If the answer to this question is "yes," contact the nearest Regional Office of the EPA (<http://www.epa.gov/aboutepa/visiting-regional-office>) for further guidance on CERCLA requirements.

**1.16 WILD AND SCENIC RIVERS ACT**

The Wild and Scenic Rivers Act prohibits federal support for actions such as the construction of dams or other instream activities that would harm the free-flowing condition, water quality, or outstanding resource values of a designated Wild and Scenic River. There are designated rivers in the Gulf Coast States and the Act may apply based on the answer to the following questions:

1) Is the proposed activity located on a designated Wild and Scenic River (<http://www.rivers.gov/index.php>)?

Yes  No

If the answer to this question is "yes," contact the nearest Regional Office of the USFWS (<http://www.fws.gov/where>) for further guidance.

**1.17 SAFE DRINKING WATER ACT**

A permit may be required if the proposed activity will involve underground injection which may impact drinking water sources and based on the answer to the following question:

1) Will the proposed activity involve underground injection which may impact drinking water sources?

Yes  No

If the answer to the question is "yes," contact the nearest state drinking water or underground injection control program. For more information see: <http://water.epa.gov/lawsregs/guidance/sdwa/>.

### 1.18 FARMLAND PROTECTION POLICY ACT (FPPA)

Projects are subject to FPPA requirements if they may irreversibly convert farmland (directly or indirectly) to nonagricultural use and are completed by a Federal agency or with assistance from a Federal agency. The project may be subject to the FPPA based on the answers to the following questions:

- 1) Will the proposed activity irreversibly convert farmland (directly or indirectly) to nonagricultural use?

Yes

No

If the answer to the question is "yes," contact your local office of the Natural Resources Conservation Service (NRCS) or USDA Service Center. For more information see: [http://www.nrcs.usda.gov/wps/portal/nrcs/detail/?cid=nrcs143\\_008275](http://www.nrcs.usda.gov/wps/portal/nrcs/detail/?cid=nrcs143_008275)

### EXECUTIVE ORDERS

Executive Orders are directives from the President of the United States to federal agencies and officials.

#### 2.1 E.O. 11988 AND E.O. 13690 – FLOODPLAIN MANAGEMENT

Executive Order 11988, as amended by Executive Order 13690 requires that an eight-step process be followed for projects that may have potential impacts to or within floodplains.

- 1) Is the proposed activity located in a designated floodway or "V-zone" on a National Flood Insurance Program map: (<http://msc.fema.gov/portal>)?

Yes

No

If the answer to this question is "yes," contact the nearest Regional Office of the Federal Emergency Management Agency (<https://www.fema.gov/regional-contact-information>) for further guidance.

#### 2.2 E.O. 11990 and E.O. 12608– WETLAND PROTECTION

This Executive Order requires agencies to avoid providing assistance for new construction located in wetlands unless there is no practicable alternative to such construction, and that the proposed action includes all practicable measures to minimize harm to wetlands which may result from such use. The Executive Order defines wetlands: "(c) The term "wetlands" means those areas that are inundated by surface or ground water with a frequency sufficient to support and under normal circumstances does or would support a prevalence of vegetative or aquatic life that requires saturated or seasonally saturated soil conditions for growth and reproduction. Wetlands generally include swamps, marshes, bogs, and similar areas such as sloughs, potholes, wet meadows, river overflows, mud flats, and natural ponds." (See <http://www.fws.gov/wetlands/Data/Mapper.html>)

1) Is any portion of the project proposing a new construction activity in wetlands?

Yes  No

If the answer to this question is "yes," provide documentation in the grant application demonstrating that: (1) there is no practicable alternative, and (2) the proposed activity includes all practicable measures to minimize harm to wetlands.

### 2.3 E.O. 12898 – ENVIRONMENTAL JUSTICE

This Executive Order requires that "each federal agency shall make achieving environmental justice part of its mission by identifying and addressing, as appropriate, disproportionately high and adverse human health or environmental effects of its programs, policies, and activities on minority populations and low-income populations."

1) Will the proposed activity have disproportionately high and adverse human health or environmental effects on minority or low-income populations?

Yes  No

If the answer to this question is "yes," see the Council on Environmental Quality website for further guidance on Environmental Justice: [https://ceq.doe.gov/nepa\\_information/justice.html](https://ceq.doe.gov/nepa_information/justice.html) .

### 2.4 E.O. 13089 – CORAL REEF PROTECTION

This Executive Order requires that any actions that are authorized or funded by federal agencies not degrade the condition of coral reef ecosystems. Some of the Gulf Coast States contain coral reef ecosystems and include National Marine Sanctuaries (<http://sanctuaries.noaa.gov>).

1) Will the proposed activity involve a coral reef ecosystem or National Marine Sanctuary?

Yes  No

If the answer to this question is "yes," contact the National Oceanic and Atmospheric Administration Coral Reef Conservation Program (<http://www.coralreef.noaa.gov>) for further guidance. Additional information regarding Executive Order 13089 can be found at: <https://ceq.doe.gov/nepa/regs/eos/eo13089.html>.

**2.5 E.O. 13112 – INVASIVE SPECIES**

This Executive Order requires agencies to prevent the introduction of invasive species and provide for their control.

- 1) Will the proposed activity have the potential to introduce or cause the spread of an invasive species? For more information on invasive species, see <http://www.invasivespeciesinfo.gov/index.shtml>.

Yes  No

If the answer to this question is “yes,” provide documentation demonstrating that the benefits of the activity clearly outweigh the potential harm caused by invasive species, and that all feasible and prudent measures to minimize risk of harm will be taken in conjunction with the actions.

**2.6 E.O. 13186 – RESPONSIBILITIES OF FEDERAL AGENCIES TO PROTECT MIGRATORY BIRDS** This Executive Order requires the incorporation and promotion of migratory bird conservation considerations into all agency activities. The Gulf Coast States contain North American migration flyways.

- 1) Is the proposed activity likely to occur during a time of the year when migrating birds are in the vicinity?

Yes  No

If the answer to this question is “yes,” contact the nearest Regional Office of the U.S. Fish and Wildlife Service (<http://www.fws.gov/where>) for further guidance. Additional information regarding Executive Order 13186 can be found at: <http://www.fws.gov/migratorybirds>.

**2.7 E.O. 13653 – PREPARING THE UNITED STATES FOR THE IMPACTS OF CLIMATE CHANGE** This Executive Order requires federal agencies to identify and support smarter, more climate- resilient investments by States, local communities, and tribes, including by providing incentives through agency guidance and grants.

- 1) Will the proposed activity incorporate elements that promote climate-resilience (e.g., to rising sea levels)?

Yes  No

If yes, include a brief description of the climate-resilient elements in the grant application - proposed activity description.

Executive Order 13563 can be found at: <https://www.gpo.gov/fdsys/pkg/FR-2013-11-06/pdf/2013-26785.pdf>.

Report the status of your contact with required agencies/tribes on the table below which coincides with the environmental laws outlined in the checklist. Provide the date of contact, name of agency/tribe contacted, location, and any necessary permit, certification, or other determination or mitigation proposed by the agency/tribe. If none, state so.

Status of Contact Table

Federal law as listed in checklist	Date of contact	Name of agency/tribe contacted	Location	Permit, certification, determination or mitigation required

Signature of Authorized Senior Official: Dan Bond Digitally signed by Dan Bond  
DN: cn=Dan Bond, o=City of Gulf Shores, Alabama,  
ou=Executive Department, email=dbond@gulfshoresal.gov,  
c=US  
Date: 2017.11.01 11:40:29 -0500

Name: Dan Bond Date: 10/31/2017

Title: Environmental Grants Coordinator Organization: City of Gulf Shores, Alabama

## RESTORE Act Milestones Report

### Instructions for Completing Form:

Please complete Columns B-E in the initial report submitted as part of an application package. After a grant is awarded, complete Columns F-G for each milestone as applicable and submit as part of the performance reports. The values in Columns E and G should each total 100%. These milestones should reflect what is in the applicant's scope of work as described in the applicable RESTORE Act Direct Component Application Narrative.

**Applicant/Grantee:** City of Gulf Shores, Alabama

**Title:** Ambassadors of the Environment - Gulf Shores

**Reporting Period Ending:\***

A. Milestone #	B: Milestone Description	C. Estimated Completion Timeframe of Milestone (Format: award + # of months)	D. Is milestone contingent upon completion of another milestone (Y/N)? If yes, which milestone is it contingent upon (# from Column A)?	E. What percentage of the Scope of Work is estimated to be completed with this milestone?	F. Actual Completion Date of Milestone (Format: Month/Year)	G. Estimate percentage of budget for the awarded Scope of Work spent on milestone	
1	Program Development	award + 6 months	N	4.00%		0.00%	
2	Engineering, Design, Permitting	Award + 6 Months	N	8.00%		0.00%	
3	<b>Construction</b>	Award + 18 Months	Contingent on Milestones 1 & 2	60.00%		0.00%	
4	Program Refinement, Begin Operation	Award + 24 Months	Contingent on Milestones 1, 2 & 3	3.00%		0.00%	
5	Initial 1 year Operation Period	Award + 36 months	Contingent on milestones 1, 2, 3, 4	25.00%		0.00%	
				0.00%		0.00%	
				0.00%		0.00%	
				0.00%		0.00%	
				0.00%		0.00%	
	This row is for Columns E and G Totals						100.00%
						0.00%	



## RESTORE Act Status of Performance Report

### Instructions for Completing Form:

The purpose of this form is to report the status of progress toward reaching priority goals of the eligible Direct Component (DC) activity (i.e., measuring success, rather than listing milestones or tasks). Please focus on a discrete number of priority goals (1-3) and the corresponding performance measures (1-5).

**Goal(s):** Anticipated result(s). State the priority goal(s) to be achieved with the grant award. Priority goal(s) should clearly identify with the eligible DC activity.

**Eligible Activity/Discipline #:** For a DC grant, select the DC number from the list that corresponds to the DC Eligible Activity associated with that measure. The DC numbers, along with the corresponding Eligible Activities, are listed directly below.

#### Direct Component (DC) Eligible Activities

- DC - 1 Restoration and protection of the natural resources, ecosystems, fisheries, marine and wildlife habitats, beaches, and coastal wetlands of the Gulf Coast region.
- DC - 2 Mitigation of damage to fish, wildlife, and natural resources.
- DC - 3 Implementation of a federally approved marine, coastal, or comprehensive conservation management plan, including fisheries monitoring.
- DC - 4 Workforce development and job creation.
- DC - 5 Improvements to or on State parks located in coastal areas affected by the Deepwater Horizon oil spill.
- DC - 6 Infrastructure projects benefitting the economy or ecological resources, including port infrastructure.
- DC - 7 Coastal flood protection and related infrastructure.
- DC - 8 Planning assistance.
- DC - 9 Promotion of tourism in the Gulf Coast Region, including recreational fishing
- DC - 10 Promotion of consumption of seafood harvested from the Gulf Coast Region

**Measure #:** Starting with 1, number each performance measure.

**Measure:** An indicator of success toward reaching a goal. This should reflect "how the applicant will evaluate success" from the narrative of an accepted multiyear plan.

**Baseline:** The starting point of the measure. It is the status quo without the grant award.

**Target:** The anticipated result of the measure. It is the anticipated new status with the grant award.

**Date:** It is the anticipated date for reaching the target.

**Progress toward target (reporting period/cumulative):** Leave blank on the initial report. For subsequent reports, record progress made during the reporting period and progress made from the start date of the grant award through the current reporting period.

**Status/Next Steps:** Briefly describe specific progress and/or challenges related to the measure.



# RESTORE Act Direct Component Applicant Certifications

## Department of the Treasury

OMB Approval No. 1505-0250

Directions: These certifications are required by federal law and Department of the Treasury (Treasury) regulations to be submitted with each application to Treasury for financial assistance under the RESTORE Act Direct Component. The certifications must be signed by an authorized senior official of the Applicant who can legally bind the entity and has oversight for the administration and use of the Direct Component funds.

### A. RESTORE Act Certification

Pursuant to the RESTORE Act, I certify that for any award Agreement resulting from this application:

1. Each activity funded under this Agreement has been primarily designed to restore and protect [select all that are appropriate: the natural resources, ecosystems, fisheries, marine and wildlife habitats, beaches, coastal wetlands, or economy] of the Gulf Coast region.
2. Each activity funded under this Agreement is designed to carry out one or more of the eligible activities for the Direct Component.
3. Each activity funded under this Agreement was selected after consideration of all meaningful input from the public, including broad-based participation from individuals, businesses, Indian tribes, and nonprofit organizations, as described in the grant application.
4. Each activity funded under this Agreement that protects or restores natural resources is based on the best available science, as that term is defined in 31 C.F.R. Part 34.
5. This recipient has procedures in place for procuring property and services under this award that are consistent with the procurement standards applying to Federal grants. This recipient will not request funds under this award for any contract unless this certification remains true and accurate.
6. Pursuant to 2 C.F.R. § 200.303, this recipient will establish and maintain effective internal control over any award made based on this application that provides reasonable assurance that this recipient is managing the award in compliance with Federal statutes, regulations, and the terms and conditions of the award. No material deficiencies in this recipient's internal controls are known.
7. A conflict of interest policy consistent with 2 C.F.R. § 200.318(c) is in effect and covering each activity funded under this Agreement.
8. This recipient will comply with Title VI of the Civil Rights Act of 1964, the Rehabilitation Act of 1973, and all other applicable federal laws and regulations concerning anti-discrimination.

I make each of these certifications based on my personal knowledge and belief after reasonable and diligent inquiry, and I affirm that this Applicant maintains written documentation sufficient to support each certification made above, and that this Applicant's compliance with each of these certifications is a condition of this Applicant's initial and continuing receipt and use of the funds provided under this Agreement.

### B. Certification Regarding Debarment, Suspension, and Other Responsibility Matters -- Primary Covered Transactions

Instructions: The inability of an applicant to provide the certification required below will not necessarily result in the denial of participation in

this covered transaction. The prospective Applicant shall submit an explanation of why it cannot provide the certification set out below. The certification or explanation will be considered in connection with Treasury's approval of the proposed Application. However, failure of the Applicant to furnish a certification or an explanation shall disqualify such person/entity from participation in this transaction. Please be advised of the following:

1. This certification is a material representation of fact upon which reliance is placed when Treasury determines to enter into this transaction. If it is later determined that the Applicant knowingly rendered an erroneous certification, in addition to other remedies available to the Federal government, Treasury may terminate this transaction for cause or default.
2. The Applicant shall provide immediate written notice to Treasury if at any time the Applicant learns that its certification was erroneous when submitted or has become erroneous by reason of changed circumstances.
3. The terms "covered transactions," "debarred," "suspended," "ineligible," "lower tier covered transaction," "participant," "person," "primary covered transaction," "principal," "proposal", and "voluntarily excluded," as used in this clause (certification), have the meanings set out in the Definitions and Coverage sections of the rules implementing Executive Order 12549. You may contact Treasury for assistance in obtaining a copy of those regulations (31 C.F.R. Part 19).
4. The Applicant agrees by submitting this Application that, should the proposed covered transaction be entered into, it shall not knowingly enter into any lower tier covered transaction with a person who is debarred, suspended, declared ineligible, or voluntarily excluded from participation in this covered transaction, unless authorized by Treasury.
5. The Applicant further agrees by submitting this Application that it will include the clause titled "Certification Regarding Debarment, Suspension, Ineligibility and Voluntary Exclusion-Lower Tier Covered Transaction," to be provided by Treasury, without modification, in all lower tier covered transactions and in all solicitations for lower tier covered transactions (see 31 C.F.R. Part 19, Appendix).
6. A participant in a covered transaction may rely upon a certification of a prospective participant in a lower tier covered transaction that it is not debarred, suspended, ineligible, or voluntarily excluded from the covered transaction, unless it knows that the certification is erroneous. A participant may decide the method and frequency by which it determines the eligibility of its principals. Each participant may, but is not required to, check the Nonprocurement List.
7. Nothing contained in the foregoing shall be construed to require establishment of a system of records in order to render in good faith the certification required by this clause. The knowledge and information of a participant is not required to exceed that which is normally possessed by a prudent person in the ordinary course of business dealings.
8. Except for transactions authorized under paragraph 4 of this certification, if a participant in a covered transaction knowingly enters into a lower tier covered transaction with a person who is suspended,

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According to the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 1505-0250. The time required to complete this information collection is estimated to average 10 hours, including the time to review instructions, search existing data resources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Comments concerning the accuracy of the time estimate and suggestions for reducing this burden should be directed to the Department of the Treasury, RESTORE Act Program, 1500 Pennsylvania Ave., NW, Washington, DC 20005.

debarred, ineligible, or voluntarily excluded from participation in this transaction, in addition to other remedies available to the Federal government, Treasury may terminate this transaction for cause or default.

By signing and submitting this Application, the prospective primary participants (the Applicant) is providing the certification set out below. The prospective primary participant (the Applicant) certifies to the best of its knowledge and belief, that it and its principals:

1. Are not presently debarred, suspended, proposed for debarment, declared ineligible, or voluntarily excluded from covered transactions by any Federal department or agency;
2. Have not within a three-year period preceding this Application been convicted of or had a civil judgment rendered against them for commission of fraud or a criminal offense in connection with obtaining, attempting to obtain, or performing a public (Federal, State or local) transaction or contract under a public transaction; violation of Federal or State antitrust statutes or commission of embezzlement, theft, forgery, bribery, falsification or destruction of records, making false statements, or receiving stolen property;
3. Are not presently indicted for or otherwise criminally or civilly charged by a governmental entity (Federal, State or local) with commission of any of the offenses enumerated in paragraph (1)(b) of this certification; and
4. Have not within a three-year period preceding this Application had one or more public transactions (Federal, State or local) terminated for cause or default.

Where the Applicant is unable to certify to any of the statements in this certification, such Applicant shall attach an explanation to this proposal.

**C. Certification Regarding Drug-Free Workplace Requirements**

The Applicant certifies that it will provide a drug-free workplace by:

1. Publishing a statement notifying employees that the unlawful manufacture, distribution, dispensing, possession, or use of a controlled substance is prohibited in the Applicant's workplace and specifying the actions that will be taken against employee for violations of such prohibition;
2. Establishing a drug-free awareness program to inform employees about:
  - a. The dangers of drug abuse in the workplace;
  - b. The Applicant's policy of maintaining a drug-free workplace;
  - c. Any available drug counseling, rehabilitation, and employee assistance program;
  - d. The penalties that may be imposed upon employees for drug abuse violations occurring in the workplace
3. Making it a requirement that each employee to be engaged in the performance of the award be given a copy of the statement required by paragraph (1) of this certification;
4. Notifying the employee in the statement required by paragraph (1) of this certification that, as a condition of employment in such grant, the employee will:
  - a. Abide by the terms of the statement; and
  - b. Notify the employer of any criminal drug use statute conviction for a violation occurring in the workplace no later than five calendar days after such conviction;

5. Notifying the granting agency in writing, within ten calendar days after receiving notice of a conviction under paragraph (4)(b) of this certification from an employee or otherwise receiving actual notice of such conviction;
6. Taking one of the following actions, within 30 days of receiving notice under paragraph (4)(b) of this certification, with respect to any employee who is so convicted:
  - a. Taking appropriate personnel action against such an employee, up to and including termination, consistent with the requirements of the Rehabilitation Act of 1973, as amended; or
  - b. Requiring such employee to participate satisfactorily in a drug abuse assistance or rehabilitation program approved for such purposes by a Federal, State, or local health, law enforcement, or other appropriate agency; and
7. Making a good faith effort to continue to maintain a drug-free workplace through implementation of paragraphs 1 through 6 above.

**D. Certification Regarding Lobbying**

The Applicant certifies, to the best of his or her knowledge and belief, that:

1. No Federal appropriated funds have been paid or will be paid, by or on behalf of the Applicant, to any person for influencing or attempting to influence an officer or employee of an agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with the awarding of any Federal contract, the making of any Federal grant, the making of any Federal loan, the entering into of any cooperative agreement, and the extension, continuation, renewal, amendment, or modification of any Federal contract, grant, loan, or cooperative agreement.
2. If any funds other than Federal appropriated funds have been paid or will be paid to any person for influencing or attempting to influence an officer or employee of any agency, a Member of Congress, an officer or employee of Congress, or an employee of a Member of Congress in connection with this Federal contract, grant, loan, or cooperative agreement, the undersigned shall complete and submit Standard Form-LLL, "Disclosure Form to Report Lobbying," in accordance with its instructions.
3. The Applicant shall require that the language of this certification be included in the award documents for all subawards at all tiers (including subcontracts, subgrants, and contracts under grants, loans, and cooperative agreements) and that all sub-recipients shall certify and disclose accordingly. This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by title 31 U.S. Code section 1352. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

This certification is a material representation of fact upon which reliance was placed when this transaction was made or entered into. Submission of this certification is a prerequisite for making or entering into this transaction imposed by section 1352, title 31, U.S. Code. Any person who fails to file the required certification shall be subject to a civil penalty of not less than \$10,000 and not more than \$100,000 for each such failure.

Signature of Authorized Senior Official: <b>Robert Craft</b>	
Name: <b>Robert Craft</b>	Date: <b>10/31/2017</b>
Title: <b>Mayor</b>	Organization: <b>City of Gulf Shore, Alabama</b>



# ALABAMA GULF COAST RECOVERY COUNCIL

## Subrecipient Questionnaire

This questionnaire is used to help determine a subrecipient organization's financial and management strength, which helps assess risk and dictates the monitoring plan for subrecipients. Please complete the following questionnaire and submit all related documents as necessary.

SECTION A: GENERAL INFORMATION	
Project Title:	Ambassadors of the Environment - Gulf Shores
Point of Contact for matters concerning this project:	Name: Dan Bond Address: P.O. Box 299, Gulf Shores, AL, 36547 Phone: (251)968-9825 Fax: (251)968-4459 Email: dbond@gulfshoresal.gov URL: www.gulfshoresal.gov DUNS #: 053116380 EIN: 63-6005299 Reg. in SAM? Yes <input type="radio"/> No <input checked="" type="radio"/> Number of Employees: 300 Exp. Date of Current SAM Registration: <u>04/12/2018</u>
SECTION B. SUBRECIPIENT ELIGIBILITY	
Is your organization or your organization's principals presently debarred, suspended, proposed for debarment, declared ineligible or voluntarily excluded from participation in this transaction by any federal department or agency?	
<input type="radio"/> Yes <input checked="" type="radio"/> No	
If yes, please skip the rest of the questionnaire, sign and return the questionnaire with the Project Evaluation Packet.	
SECTION C. SUBRECIPIENT ORGANIZATION INFORMATION (please fill out the information below, as appropriate)	
1. Type of organization (check all that apply):	
<input type="radio"/> University <input checked="" type="radio"/> Government Entity <input type="radio"/> Foundation <input type="radio"/> Non-Profit Org <input type="radio"/> For-Profit Org <input type="radio"/> Other _____	
2. Fiscal year dates (month and year):	
01/17 - 12/18	
3. Name of designated federal cognizant agency, if applicable:	
NA	

**4. Negotiated Indirect Cost Rate:**

Yes  No URL: \_\_\_\_\_

If yes, please provide a copy of your current rate agreement or provide the URL. If no, a de minimis rate of 10% of MTDC will be used in accordance with 2 CFR 200.414.

**5. Fringe Benefit rate:**

Yes  No URL: \_\_\_\_\_

If yes, please provide a copy of your current fringe benefit rate memorandum or provide the URL.

**6. Has organization received in the past the same or similar Federal subawards to the current subaward? (2 CFR 200.331)**

Yes  No

If yes, subrecipient hereby agrees to provide further documentation upon request.

**7. Does organization have on-going direct Federal awards? (2 CFR 200.331)**

Yes  No

If yes, is the awarding agency currently monitoring subrecipient activity?

Yes  No

If yes, please describe:

COPS Hiring Grant Program

**8. Please certify policies and/or procedures exist that address the following:**

- Pay Rates and Benefits
- Conflict of Interest
- Purchasing
- Time and Attendance
- Travel
- Equipment & Inventory
- Leave

By signing this document, subrecipient certifies that policies and/or procedures shown above are in place. If not, then subrecipient agrees to abide by the State's policies and/or procedures.

**9. Is Government property inventory maintained that identifies purchase date, cost, vendor, description, serial number, location, and ultimate disposition data?**

Yes  No  N/A

10. Has any new system been recently put in place or has there been any change to the existing system (e.g., accounting, information, management, etc.)? (2 CFR 200.331)

Yes  No

If yes, please explain:

11. Does organization have any new personnel (e.g., key personnel, financial management, grants management, IT management, or other staff serving in grants administration role)? (2 CFR 200.331)

Yes  No

If yes, please explain:

12. Has organization in the preceding fiscal year expended any federal funds in either direct or indirect Federal awards?

Yes  No

If yes, please indicate the expenditure amount:

\$88,403.41

13. Have annual financial statements been audited by an independent audit firm? If yes, provide a copy of the statements for the most current fiscal year.

Yes  No

14. Does organization adhere to Subpart E Cost Principles of 2 CFR 200 under the proposed subaward?

Yes  No  N/A

15. Does organization have a financial management system that provides records that can identify the source and application of funds for award-supported activities?

Yes  No

16. Does the financial system provide for the control and accountability of project funds, property, and other assets?

Yes

No

17. Are duties separated so that no one individual has complete authority over an entire financial transaction?

Yes

No

If no, please explain below:

18. Does your organization have controls to prevent expenditure of funds in excess of approved, budgeted amounts?

Yes

No

If no, please explain below:

19. Are all disbursements properly documented with evidence of receipt of goods or performance?

Yes

No

If no, please explain below:

20. Are all bank accounts reconciled monthly?

Yes

No

If no, please explain below:

21. Are payroll charges checked against program budgets?

Yes

No

If no, please explain below:

22. What system does your organization use to control paid time, especially time charged to sponsored agreements?

Gorrie Regan

23. Does the organization have procedures which provide assurance that consistent treatment is applied in the distribution of charges to all sponsored agreements, grants and contracts?

Yes  No

If no, please explain below:

24. Does your organization have a formal policy of nondiscrimination and a formal system for complying with Federal civil rights requirements?

Yes  No

If no, please explain below:

25. Describe your organization's procedures to ensure that costs deemed unallowable, per Federal guidelines (2 CFR 200), are excluded from the amount charged to a grant?

The City Department directly overseeing project activities (i.e. Public Works, Recreation & Cultural Affairs, Planning, etc.) is in charge of reviewing all invoicing to ensure only allowable costs are charged to grants. The department of Finance receives and reviews this information prior to issuing payments to ensure compliance.

26. Are there procedures to ensure procurement at competitive prices?

Yes  No

If no, please explain below:

27. Are detailed records of individual capital assets kept and periodically balanced with the general ledger accounts?

Yes

No

If no, please explain below:

28. How does the organization ensure that all cost transfers are legitimate and appropriate?

All costs are budgeted and audited annually, reconciled quarterly by Finance Department.

Authorized Representative Approval

By signing below, the authorized representative certifies, to the best of subrecipient's knowledge, all information submitted on this form, or attached for submission to ADCNR, is accurate and complete.

Dan Bond

Digitally signed by Dan Bond  
DN: cn=Dan Bond, o=City of Gulf Shores, Alabama, ou=Executive  
Department, email=danbond@gulfshoresal.gov, c=US  
Date: 2017.11.01 11:37:37 -0500

Date: 10/31/2017

Signature

Dan Bond Environmental Grants Coordinator

Printed Name & Title

For ADCNR Use Only:

Risk Level Determination: \_\_\_\_\_ Lower \_\_\_\_\_ Medium \_\_\_\_\_ Higher

Notes: \_\_\_\_\_

Approved: \_\_\_\_\_ Date: \_\_\_\_\_



**Application for Federal Assistance SF-424**

<b>* 1. Type of Submission:</b> <input type="checkbox"/> Preapplication <input checked="" type="checkbox"/> Application <input type="checkbox"/> Changed/Corrected Application	<b>* 2. Type of Application:</b> <input checked="" type="checkbox"/> New <input type="checkbox"/> Continuation <input type="checkbox"/> Revision	<b>* If Revision, select appropriate letter(s):</b> _____ <b>* Other (Specify):</b> _____
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<b>* 3. Date Received:</b> 10/31/2017	<b>4. Applicant Identifier:</b> _____
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<b>5a. Federal Entity Identifier:</b> _____	<b>5b. Federal Award Identifier:</b> _____
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**State Use Only:**

<b>6. Date Received by State:</b> _____	<b>7. State Application Identifier:</b> _____
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**8. APPLICANT INFORMATION:**

<b>* a. Legal Name:</b> City of Gulf Shores, Alabama	
<b>* b. Employer/Taxpayer Identification Number (EIN/TIN):</b> 63-6005299	<b>* c. Organizational DUNS:</b> 0531163800000

**d. Address:**

<b>* Street1:</b> P.O. Box 299
<b>Street2:</b> _____
<b>* City:</b> Gulf Shores
<b>County/Parish:</b> Baldwin
<b>* State:</b> AL: Alabama
<b>Province:</b> _____
<b>* Country:</b> USA: UNITED STATES
<b>* Zip / Postal Code:</b> 36547-0299

**e. Organizational Unit:**

<b>Department Name:</b> Executive	<b>Division Name:</b> _____
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**f. Name and contact information of person to be contacted on matters involving this application:**

<b>Prefix:</b> Mr.	<b>* First Name:</b> Dan
<b>Middle Name:</b> _____	
<b>* Last Name:</b> Bond	
<b>Suffix:</b> _____	
<b>Title:</b> Environmental/Grants Coordinator	

**Organizational Affiliation:**  
\_\_\_\_\_

<b>* Telephone Number:</b> (251) 968-9825	<b>Fax Number:</b> (251) 968-4459
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<b>* Email:</b> dbond@gulfshoresal.gov
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**Application for Federal Assistance SF-424**

**\* 9. Type of Applicant 1: Select Applicant Type:**

C: City or Township Government

**Type of Applicant 2: Select Applicant Type:**

**Type of Applicant 3: Select Applicant Type:**

**\* Other (specify):**

**\* 10. Name of Federal Agency:**

**11. Catalog of Federal Domestic Assistance Number:**

**CFDA Title:**

**\* 12. Funding Opportunity Number:**

**\* Title:**

**13. Competition Identification Number:**

**Title:**

**14. Areas Affected by Project (Cities, Counties, States, etc.):**

Add Attachment

Delete Attachment

View Attachment

**\* 15. Descriptive Title of Applicant's Project:**

Ambassadors of the Environment Program - Gulf Shores

Attach supporting documents as specified in agency instructions.

Add Attachments

Delete Attachments

View Attachments

**Application for Federal Assistance SF-424**

**16. Congressional Districts Of:**

\* a. Applicant

\* b. Program/Project

Attach an additional list of Program/Project Congressional Districts if needed.

**17. Proposed Project:**

\* a. Start Date:

\* b. End Date:

**18. Estimated Funding (\$):**

* a. Federal	<input type="text" value="13,421,399.00"/>
* b. Applicant	<input type="text" value=""/>
* c. State	<input type="text" value=""/>
* d. Local	<input type="text" value=""/>
* e. Other	<input type="text" value=""/>
* f. Program Income	<input type="text" value=""/>
* g. TOTAL	<input type="text" value="13,421,399.00"/>

**\* 19. Is Application Subject to Review By State Under Executive Order 12372 Process?**

- a. This application was made available to the State under the Executive Order 12372 Process for review on
- b. Program is subject to E.O. 12372 but has not been selected by the State for review.
- c. Program is not covered by E.O. 12372.

**\* 20. Is the Applicant Delinquent On Any Federal Debt? (If "Yes," provide explanation in attachment.)**

- Yes
- No

If "Yes", provide explanation and attach

21. \*By signing this application, I certify (1) to the statements contained in the list of certifications\*\* and (2) that the statements herein are true, complete and accurate to the best of my knowledge. I also provide the required assurances\*\* and agree to comply with any resulting terms if I accept an award. I am aware that any false, fictitious, or fraudulent statements or claims may subject me to criminal, civil, or administrative penalties. (U.S. Code, Title 218, Section 1001)

\*\* I AGREE

\*\* The list of certifications and assurances, or an internet site where you may obtain this list, is contained in the announcement or agency specific instructions.

**Authorized Representative:**

Prefix:  \* First Name:

Middle Name:

\* Last Name:

Suffix:

\* Title:

\* Telephone Number:  Fax Number:

\* Email:

\* Signature of Authorized Representative:

\* Date Signed:

**BUDGET INFORMATION - Construction Programs**

NOTE: Certain Federal assistance programs require additional computations to arrive at the Federal share of project costs eligible for participation. If such is the case, you will be notified.

COST CLASSIFICATION	a. Total Cost	b. Costs Not Allowable for Participation	c. Total Allowable Costs (Columns a-b)
1. Administrative and legal expenses	\$ 0.00	\$	\$ 0.00
2. Land, structures, rights-of-way, appraisals, etc.	\$ 0.00	\$	\$ 0.00
3. Relocation expenses and payments	\$ 0.00	\$	\$ 0.00
4. Architectural and engineering fees	\$ 898,502.00	\$	\$ 898,502.00
5. Other architectural and engineering fees	\$ 0.00	\$	\$ 0.00
6. Project inspection fees	\$ 0.00	\$	\$ 0.00
7. Site work	\$ 791,000.00	\$	\$ 791,000.00
8. Demolition and removal	\$ 0.00	\$	\$ 0.00
9. Construction	\$ 4,817,765.00	\$	\$ 4,817,765.00
10. Equipment	\$ 309,060.00	\$	\$ 309,060.00
11. Miscellaneous	\$ 1,681,250.00	\$	\$ 1,681,250.00
12. SUBTOTAL (sum of lines 1-11)	\$ 8,497,577.00	\$	\$ 8,497,577.00
13. Contingencies	\$ 1,274,636.00	\$	\$ 1,274,636.00
14. SUBTOTAL	\$ 9,772,213.00	\$	\$ 9,772,213.00
15. Project (program) income	\$	\$	\$
16. TOTAL PROJECT COSTS (subtract #15 from #14)	\$ 9,772,213.00	\$	\$ 9,772,213.00

FEDERAL FUNDING

17. Federal assistance requested, calculate as follows: (Consult Federal agency for Federal percentage share.) Enter the resulting Federal share.	Enter eligible costs from line 16c Multiply X	100 %	\$ 9,772,213.00
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## ASSURANCES - CONSTRUCTION PROGRAMS

OMB Number: 4040-0009  
Expiration Date: 01/31/2019

Public reporting burden for this collection of information is estimated to average 15 minutes per response, including time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding the burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden, to the Office of Management and Budget, Paperwork Reduction Project (0348-0042), Washington, DC 20503.

**PLEASE DO NOT RETURN YOUR COMPLETED FORM TO THE OFFICE OF MANAGEMENT AND BUDGET. SEND IT TO THE ADDRESS PROVIDED BY THE SPONSORING AGENCY.**

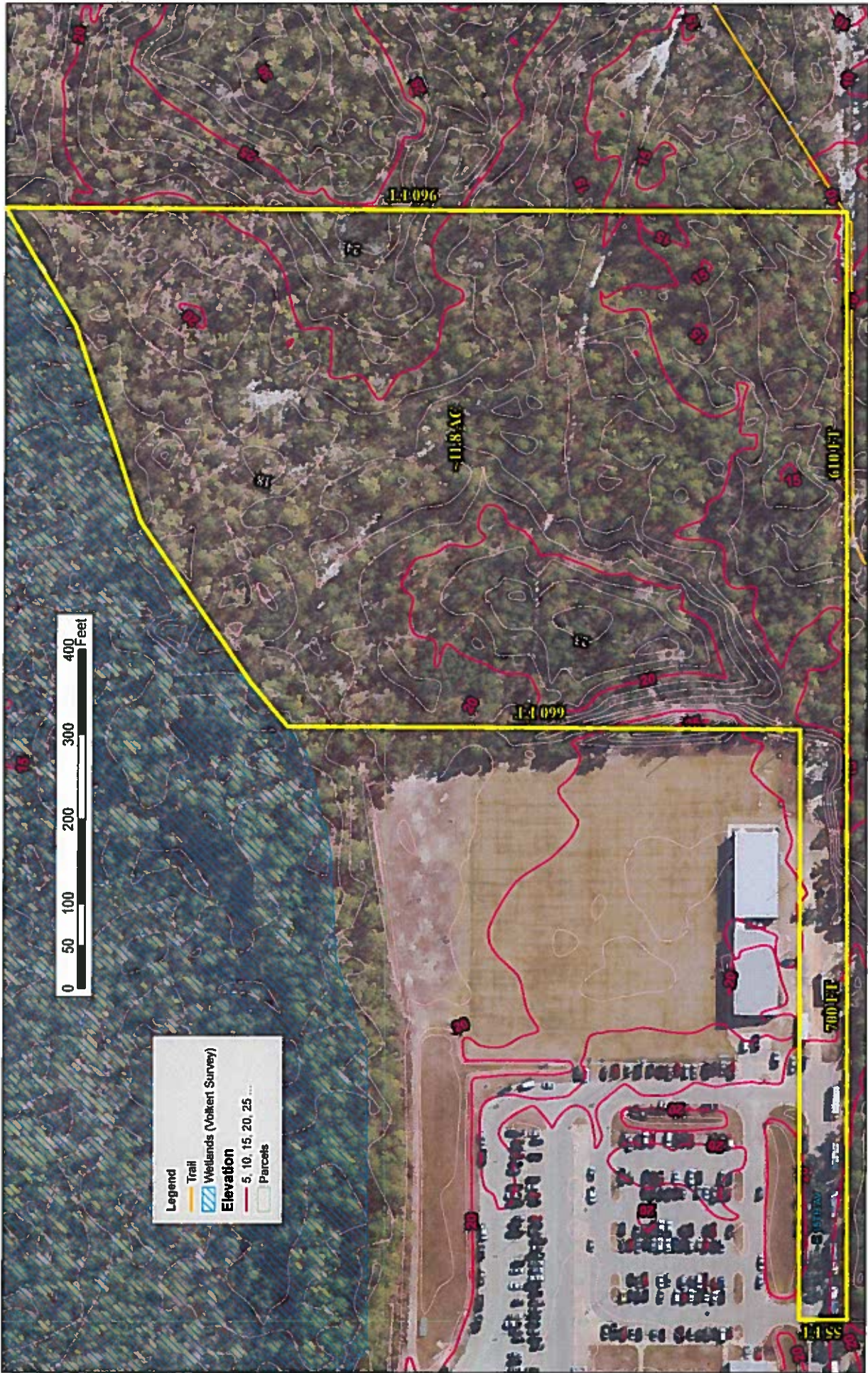
**NOTE:** Certain of these assurances may not be applicable to your project or program. If you have questions, please contact the Awarding Agency. Further, certain Federal assistance awarding agencies may require applicants to certify to additional assurances. If such is the case, you will be notified.

As the duly authorized representative of the applicant, I certify that the applicant:

1. Has the legal authority to apply for Federal assistance, and the institutional, managerial and financial capability (including funds sufficient to pay the non-Federal share of project costs) to ensure proper planning, management and completion of project described in this application.
2. Will give the awarding agency, the Comptroller General of the United States and, if appropriate, the State, the right to examine all records, books, papers, or documents related to the assistance; and will establish a proper accounting system in accordance with generally accepted accounting standards or agency directives.
3. Will not dispose of, modify the use of, or change the terms of the real property title or other interest in the site and facilities without permission and instructions from the awarding agency. Will record the Federal awarding agency directives and will include a covenant in the title of real property acquired in whole or in part with Federal assistance funds to assure non-discrimination during the useful life of the project.
4. Will comply with the requirements of the assistance awarding agency with regard to the drafting, review and approval of construction plans and specifications.
5. Will provide and maintain competent and adequate engineering supervision at the construction site to ensure that the complete work conforms with the approved plans and specifications and will furnish progressive reports and such other information as may be required by the assistance awarding agency or State.
6. Will initiate and complete the work within the applicable time frame after receipt of approval of the awarding agency.
7. Will establish safeguards to prohibit employees from using their positions for a purpose that constitutes or presents the appearance of personal or organizational conflict of interest, or personal gain.
8. Will comply with the Intergovernmental Personnel Act of 1970 (42 U.S.C. §§4728-4763) relating to prescribed standards of merit systems for programs funded under one of the 19 statutes or regulations specified in Appendix A of OPM's Standards for a Merit System of Personnel Administration (5 C.F.R. 900, Subpart F).
9. Will comply with the Lead-Based Paint Poisoning Prevention Act (42 U.S.C. §§4801 et seq.) which prohibits the use of lead-based paint in construction or rehabilitation of residence structures.
10. Will comply with all Federal statutes relating to non-discrimination. These include but are not limited to: (a) Title VI of the Civil Rights Act of 1964 (P.L. 88-352) which prohibits discrimination on the basis of race, color or national origin; (b) Title IX of the Education Amendments of 1972, as amended (20 U.S.C. §§1681 1683, and 1685-1686), which prohibits discrimination on the basis of sex; (c) Section 504 of the Rehabilitation Act of 1973, as amended (29) U.S.C. §794), which prohibits discrimination on the basis of handicaps; (d) the Age Discrimination Act of 1975, as amended (42 U.S.C. §§6101-6107), which prohibits discrimination on the basis of age; (e) the Drug Abuse Office and Treatment Act of 1972 (P.L. 92-255), as amended relating to nondiscrimination on the basis of drug abuse; (f) the Comprehensive Alcohol Abuse and Alcoholism Prevention, Treatment and Rehabilitation Act of 1970 (P.L. 91-616), as amended, relating to nondiscrimination on the basis of alcohol abuse or alcoholism; (g) §§523 and 527 of the Public Health Service Act of 1912 (42 U.S.C. §§290 dd-3 and 290 ee 3), as amended, relating to confidentiality of alcohol and drug abuse patient records; (h) Title VIII of the Civil Rights Act of 1968 (42 U.S.C. §§3601 et seq.), as amended, relating to nondiscrimination in the sale, rental or financing of housing; (i) any other nondiscrimination provisions in the specific statute(s) under which application for Federal assistance is being made; and (j) the requirements of any other nondiscrimination statute(s) which may apply to the application.

11. Will comply, or has already complied, with the requirements of Titles II and III of the Uniform Relocation Assistance and Real Property Acquisition Policies Act of 1970 (P.L. 91-646) which provide for fair and equitable treatment of persons displaced or whose property is acquired as a result of Federal and federally-assisted programs. These requirements apply to all interests in real property acquired for project purposes regardless of Federal participation in purchases.
12. Will comply with the provisions of the Hatch Act (5 U.S.C. §§1501-1508 and 7324-7328) which limit the political activities of employees whose principal employment activities are funded in whole or in part with Federal funds.
13. Will comply, as applicable, with the provisions of the Davis-Bacon Act (40 U.S.C. §§276a to 276a-7), the Copeland Act (40 U.S.C. §276c and 18 U.S.C. §874), and the Contract Work Hours and Safety Standards Act (40 U.S.C. §§327-333) regarding labor standards for federally-assisted construction subagreements.
14. Will comply with flood insurance purchase requirements of Section 102(a) of the Flood Disaster Protection Act of 1973 (P.L. 93-234) which requires recipients in a special flood hazard area to participate in the program and to purchase flood insurance if the total cost of insurable construction and acquisition is \$10,000 or more.
15. Will comply with environmental standards which may be prescribed pursuant to the following: (a) institution of environmental quality control measures under the National Environmental Policy Act of 1969 (P.L. 91-190) and Executive Order (EO) 11514; (b) notification of violating facilities pursuant to EO 11738; (c) protection of wetlands pursuant to EO 11990; (d) evaluation of flood hazards in floodplains in accordance with EO 11988; (e) assurance of project consistency with the approved State management program developed under the Coastal Zone Management Act of 1972 (16 U.S.C. §§1451 et seq.); (f) conformity of Federal actions to State (Clean Air) implementation Plans under Section 176(c) of the Clean Air Act of 1955, as amended (42 U.S.C. §§7401 et seq.); (g) protection of underground sources of drinking water under the Safe Drinking Water Act of 1974, as amended (P.L. 93-523); and, (h) protection of endangered species under the Endangered Species Act of 1973, as amended (P.L. 93-205).
16. Will comply with the Wild and Scenic Rivers Act of 1968 (16 U.S.C. §§1271 et seq.) related to protecting components or potential components of the national wild and scenic rivers system.
17. Will assist the awarding agency in assuring compliance with Section 106 of the National Historic Preservation Act of 1966, as amended (16 U.S.C. §470), EO 11593 (identification and protection of historic properties), and the Archaeological and Historic Preservation Act of 1974 (16 U.S.C. §§469a-1 et seq).
18. Will cause to be performed the required financial and compliance audits in accordance with the Single Audit Act Amendments of 1996 and OMB Circular No. A-133, "Audits of States, Local Governments, and Non-Profit Organizations."
19. Will comply with all applicable requirements of all other Federal laws, executive orders, regulations, and policies governing this program.
20. Will comply with the requirements of Section 106(g) of the Trafficking Victims Protection Act (TVPA) of 2000, as amended (22 U.S.C. 7104) which prohibits grant award recipients or a sub-recipient from (1) Engaging in severe forms of trafficking in persons during the period of time that the award is in effect (2) Procuring a commercial sex act during the period of time that the award is in effect or (3) Using forced labor in the performance of the award or subawards under the award.

<b>SIGNATURE OF AUTHORIZED CERTIFYING OFFICIAL</b> Dan Bond	<b>TITLE</b> Environmental Grants Coordinator
<b>APPLICANT ORGANIZATION</b> City of Gulf Shores Alabama	<b>DATE SUBMITTED</b> 10/31/2017



**LEGEND**

**AREA UNDER THE FIRM FLOOD PROTECTION MAP**

The FIRM Flood Protection Map shows the areas of the County subject to flooding from the Gulf of Mexico and the Gulf of Mexico Intracoastal Waterway. This map is intended to provide information to property owners and interested parties regarding the level of flood protection provided to their property. The map is based on the best available data and is subject to change as more information becomes available.

**Legend:**

- Unshaded Area: Not in Flood Hazard
- Area 1: Flood Hazard (1) - Very High Flood Hazard (1)
- Area 2: Flood Hazard (2) - High Flood Hazard (2)
- Area 3: Flood Hazard (3) - Moderate Flood Hazard (3)
- Area 4: Flood Hazard (4) - Moderate to Low Flood Hazard (4)
- Area 5: Flood Hazard (5) - Low Flood Hazard (5)
- Area 6: Flood Hazard (6) - Very Low Flood Hazard (6)
- Area 7: Flood Hazard (7) - Minimal Flood Hazard (7)
- Area 8: Flood Hazard (8) - Negligible Flood Hazard (8)
- Area 9: Flood Hazard (9) - Very Low Flood Hazard (9)
- Area 10: Flood Hazard (10) - Minimal Flood Hazard (10)
- Area 11: Flood Hazard (11) - Negligible Flood Hazard (11)
- Area 12: Flood Hazard (12) - Very Low Flood Hazard (12)
- Area 13: Flood Hazard (13) - Minimal Flood Hazard (13)
- Area 14: Flood Hazard (14) - Negligible Flood Hazard (14)
- Area 15: Flood Hazard (15) - Very Low Flood Hazard (15)
- Area 16: Flood Hazard (16) - Minimal Flood Hazard (16)
- Area 17: Flood Hazard (17) - Negligible Flood Hazard (17)
- Area 18: Flood Hazard (18) - Very Low Flood Hazard (18)
- Area 19: Flood Hazard (19) - Minimal Flood Hazard (19)
- Area 20: Flood Hazard (20) - Negligible Flood Hazard (20)
- Area 21: Flood Hazard (21) - Very Low Flood Hazard (21)
- Area 22: Flood Hazard (22) - Minimal Flood Hazard (22)
- Area 23: Flood Hazard (23) - Negligible Flood Hazard (23)
- Area 24: Flood Hazard (24) - Very Low Flood Hazard (24)
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- Area 30: Flood Hazard (30) - Very Low Flood Hazard (30)
- Area 31: Flood Hazard (31) - Minimal Flood Hazard (31)
- Area 32: Flood Hazard (32) - Negligible Flood Hazard (32)
- Area 33: Flood Hazard (33) - Very Low Flood Hazard (33)
- Area 34: Flood Hazard (34) - Minimal Flood Hazard (34)
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- Area 36: Flood Hazard (36) - Very Low Flood Hazard (36)
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- Area 39: Flood Hazard (39) - Very Low Flood Hazard (39)
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- Area 63: Flood Hazard (63) - Very Low Flood Hazard (63)
- Area 64: Flood Hazard (64) - Minimal Flood Hazard (64)
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- Area 66: Flood Hazard (66) - Very Low Flood Hazard (66)
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- Area 69: Flood Hazard (69) - Very Low Flood Hazard (69)
- Area 70: Flood Hazard (70) - Minimal Flood Hazard (70)
- Area 71: Flood Hazard (71) - Negligible Flood Hazard (71)
- Area 72: Flood Hazard (72) - Very Low Flood Hazard (72)
- Area 73: Flood Hazard (73) - Minimal Flood Hazard (73)
- Area 74: Flood Hazard (74) - Negligible Flood Hazard (74)
- Area 75: Flood Hazard (75) - Very Low Flood Hazard (75)
- Area 76: Flood Hazard (76) - Minimal Flood Hazard (76)
- Area 77: Flood Hazard (77) - Negligible Flood Hazard (77)
- Area 78: Flood Hazard (78) - Very Low Flood Hazard (78)
- Area 79: Flood Hazard (79) - Minimal Flood Hazard (79)
- Area 80: Flood Hazard (80) - Negligible Flood Hazard (80)

**FIRM FLOOD PROTECTION MAP**

**BALDWIN COUNTY, ALABAMA**

**PANEL NO. OF THE COUNTY FIRM FLOOD PROTECTION MAP**

**DATE PREPARED: JANUARY 2003**

**DATE REVISION: JANUARY 2003**

**MAP NUMBER: 100**

Map of Baldwin County, Alabama  
Firm Flood Protection Map

Prepared by: [Name]  
Checked by: [Name]  
Reviewed by: [Name]

Scale: 1" = 1/2 Mile



**NOTES TO USERS:**

The FIRM Flood Protection Map is a map of the Baldwin County, Alabama, showing the areas of the County subject to flooding from the Gulf of Mexico and the Gulf of Mexico Intracoastal Waterway. This map is intended to provide information to property owners and interested parties regarding the level of flood protection provided to their property. The map is based on the best available data and is subject to change as more information becomes available.

The map is divided into 80 areas, each with a different shade and pattern. The areas are labeled from Area 1 to Area 80. The areas are defined as follows:

- Area 1: Flood Hazard (1) - Very High Flood Hazard (1)
- Area 2: Flood Hazard (2) - High Flood Hazard (2)
- Area 3: Flood Hazard (3) - Moderate Flood Hazard (3)
- Area 4: Flood Hazard (4) - Moderate to Low Flood Hazard (4)
- Area 5: Flood Hazard (5) - Low Flood Hazard (5)
- Area 6: Flood Hazard (6) - Very Low Flood Hazard (6)
- Area 7: Flood Hazard (7) - Minimal Flood Hazard (7)
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- Area 13: Flood Hazard (13) - Minimal Flood Hazard (13)
- Area 14: Flood Hazard (14) - Negligible Flood Hazard (14)
- Area 15: Flood Hazard (15) - Very Low Flood Hazard (15)
- Area 16: Flood Hazard (16) - Minimal Flood Hazard (16)
- Area 17: Flood Hazard (17) - Negligible Flood Hazard (17)
- Area 18: Flood Hazard (18) - Very Low Flood Hazard (18)
- Area 19: Flood Hazard (19) - Minimal Flood Hazard (19)
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- Area 62: Flood Hazard (62) - Negligible Flood Hazard (62)
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- Area 64: Flood Hazard (64) - Minimal Flood Hazard (64)
- Area 65: Flood Hazard (65) - Negligible Flood Hazard (65)
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- Area 67: Flood Hazard (67) - Minimal Flood Hazard (67)
- Area 68: Flood Hazard (68) - Negligible Flood Hazard (68)
- Area 69: Flood Hazard (69) - Very Low Flood Hazard (69)
- Area 70: Flood Hazard (70) - Minimal Flood Hazard (70)
- Area 71: Flood Hazard (71) - Negligible Flood Hazard (71)
- Area 72: Flood Hazard (72) - Very Low Flood Hazard (72)
- Area 73: Flood Hazard (73) - Minimal Flood Hazard (73)
- Area 74: Flood Hazard (74) - Negligible Flood Hazard (74)
- Area 75: Flood Hazard (75) - Very Low Flood Hazard (75)
- Area 76: Flood Hazard (76) - Minimal Flood Hazard (76)
- Area 77: Flood Hazard (77) - Negligible Flood Hazard (77)
- Area 78: Flood Hazard (78) - Very Low Flood Hazard (78)
- Area 79: Flood Hazard (79) - Minimal Flood Hazard (79)
- Area 80: Flood Hazard (80) - Negligible Flood Hazard (80)

**COASTAL BARRIER LEGEND**

The Coastal Barrier Legend identifies the various types of coastal barriers in Baldwin County, Alabama. The barriers are categorized as follows:

- Barrier 1: [Pattern]
- Barrier 2: [Pattern]
- Barrier 3: [Pattern]
- Barrier 4: [Pattern]
- Barrier 5: [Pattern]
- Barrier 6: [Pattern]
- Barrier 7: [Pattern]
- Barrier 8: [Pattern]
- Barrier 9: [Pattern]
- Barrier 10: [Pattern]
- Barrier 11: [Pattern]
- Barrier 12: [Pattern]
- Barrier 13: [Pattern]
- Barrier 14: [Pattern]
- Barrier 15: [Pattern]
- Barrier 16: [Pattern]
- Barrier 17: [Pattern]
- Barrier 18: [Pattern]
- Barrier 19: [Pattern]
- Barrier 20: [Pattern]
- Barrier 21: [Pattern]
- Barrier 22: [Pattern]
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- Barrier 37: [Pattern]
- Barrier 38: [Pattern]
- Barrier 39: [Pattern]
- Barrier 40: [Pattern]
- Barrier 41: [Pattern]
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- Barrier 73: [Pattern]
- Barrier 74: [Pattern]
- Barrier 75: [Pattern]
- Barrier 76: [Pattern]
- Barrier 77: [Pattern]
- Barrier 78: [Pattern]
- Barrier 79: [Pattern]
- Barrier 80: [Pattern]







Alabama Gulf Coast Recovery Council  
Proposal Evaluation for Direct Component Summary Sheet

Project Name: **Ambassadors of the Environment Program** Project ID: **322**

Requested Funding: **\$ 9,772,213 / \$13,421,399** Additional Funding Sources Amount: **\$0**

Additional Funding Secured? Y  N  Unknown

Can Funding be secured from other sources? Y  N  Unknown

Geographic Area of Project: **City of Gulf Shores, Baldwin County**

Restore Act Project Classification: **Promotion of tourism in Gulf Coast Region**

1. Key Activities Identified:

- construction of facility on City owned property
- partnering with Cousteau's Ocean Futures Society and Gulf State Park
- creation of an ecotourism program

2. Status of Project Readiness/Time to Completion:

- land acquired; E&D and permitting required before construction
- Time to completion: **3 years**

3. Summary of potential risks to implement and maintain proposed activities:

- lack of use and sustainability of facility once built
- maintenance and operations of facility post construction

4. Permit(s) Required: Y  N  Unknown

5. If yes, status of permit(s):  Have not submitted application  Application(s) submitted  
 Permit(s) obtained

6. Described benefit/need to the community/region:

- engage youth and community into ecotourism
- create a hub of activity tied to environment, tourism, and making a difference

7. Comments and summary from independent evaluation:

Eligible under Bucket 1 and potentially under Bucket 3.  
 Phasing opportunities.  
 Per 31 CFR 34, infrastructure must be publicly owned.  
 Federal procurement standards will apply (2 CFR 200).  
 Pass-through award, increase monitoring effort by ADCNR.  
 Federal interest in real property will be recorded.  
 Fund only construction activities and no O&M to avoid program income reporting requirements.  
 Leverage opportunities with Ocean Futures society and Gulf State Park?  
 Suggest alternative eligible activity  
 Is there an identified need/gap that this program/facility fills?

## Supplemental Evaluation Information

Project Name: **Ambassadors of the Environment Program**

Project ID: **322**

Does project:

8. Demonstrate benefits in relation to cost of project: Y  N  NA

Reviewer Comments

- difficult to tie long term benefits of ecotourism and environmental education with the construction of an ecotourism facility

9. Quantify or qualify Short-term/long-term economic benefits: Y  N  NA

Reviewer Comments

- tourism is a major short and long term economic driver in Coastal Alabama. Gulf State park will be an economic revenue generator and this facility will be pair with the Park to enhance opportunities for engagement.

10. Adequately demonstrate need: Y  N  NA

Reviewer Comments

- ecotourism and environmental education are always needed in communities. However, there is no documented need for construction of the facility. What gap does it fill?

- it is unclear the current state of ecotourism related projects in Gulf Shores, how this project would interact with Gulf State park, and other environmental education initiatives

11. Prevent adverse impacts elsewhere: Y  N  NA

Reviewer Comments

- localized impacts of construction will be mitigated

12. Expand/promote an existing industry or offers diversification: Y  N  NA

Reviewer Comments

- be a facility that could promote ecotourism and environmental education

- provide a facility for a broader family experience that combines outdoor recreation with educational opportunities

- expand existing tourism industry in Baldwin County

13. Demonstrate short- or long-term job creation: Y  N  NA

Reviewer Comments

- short term job opportunities will include design consultants, surveys, E&D and construction related jobs
- long term opportunities include staffing of the facility and small business influences as a result of the facility

14. Provide measurable outcomes: Y  N  NA

Reviewer Comments

- construction related metrics for the facility would be a good tie to the eligible activity
- would suggest avoiding visitor related metrics

15. Address potential risks and uncertainties: Y  N  NA

Reviewer Comments

none identified in proposal.

- uncertainties in construction estimates depending on inclusion of exhibits and other items as a result of architectural and E&D work
- market of ecotourism and environmental education to ensure success

16. Address use of cutting-edge technology: Y  N  NA

Reviewer Comments

- likely building from a green infrastructure perspective including LID, LEED, and energy efficiency components in construction

17. Address environmental compliance needs and status: Y  N  NA

Reviewer Comments

- permitting will be needed for construction related activities

18. Demonstrate post-implementation sustainability, including recurring costs: Y  N  NA

Reviewer Comments

sustainability is dependent on demand/use. Demand is not documented in proposal.

- a detailed description of finances post implementation in terms of sustaining projected annual costs
- looks like details have been generated by a specialized company that projects potential engagement, growth, and revenues.

19. Demonstrate budget reasonableness: Y  N  NA

Reviewer Comments

-Due to the limited details and broad conceptual nature of this application, it is difficult to ascertain the reasonableness of construction costs as presented. A couple of points that can be made are the fact that the Architectural/Engineering fees exceed 18% of the construction costs, there is a \$1.7 million dollar "miscellaneous" line item and a 13% contingency is being carried on the overall project. While it is conceivable that this project could be designed and built per the submission, careful attention by the State would need to be implemented during the design to ensure budget compliance.

20. If Best Available Science is required, is narrative adequate? Y  N  NA

Reviewer Comments

- BAS not needed for this eligible activity

21. Can project be phased? Y  N  NA

Reviewer Comments

- phase of E&D and permitting
- phase of construction

22. Is project included in an existing strategic/comprehensive plan? Y  N

Reviewer Comments

- this facility and program is not specifically identified in any plan, but more broadly ecotourism and environmental education is covered in multiple comprehensive plans.

**23. Feasibility and Logistics (next steps, hurdles, barriers, other considerations)**

- coordinated discussion needs to occur between this project, ADCNR, and Gulf State Park in logistics and coordination of activities

**24. Additional Options (phasing, etc.)**

see above

**25. Additional Comments from Reviewer**

na

Reviewed By: \_\_\_\_\_

\_\_\_\_\_

Printed Name

QAQC By: \_\_\_\_\_

\_\_\_\_\_

Printed Name